



## US 9,884,190 B2

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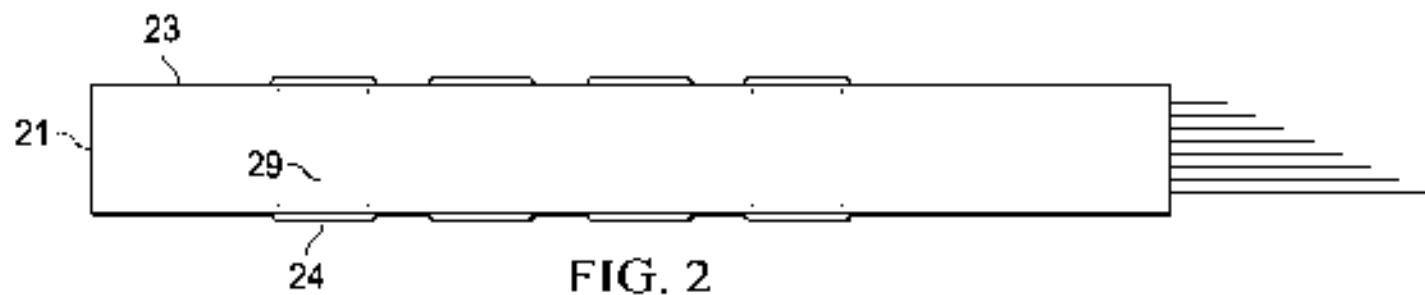
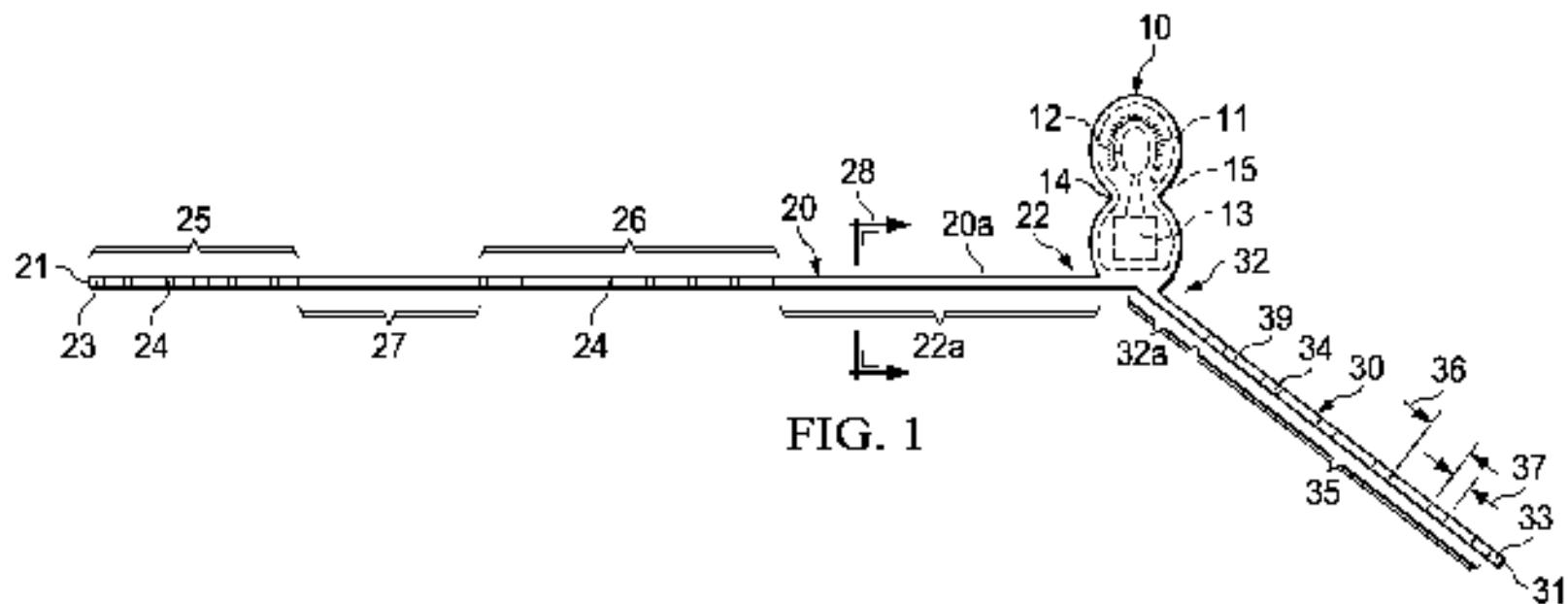
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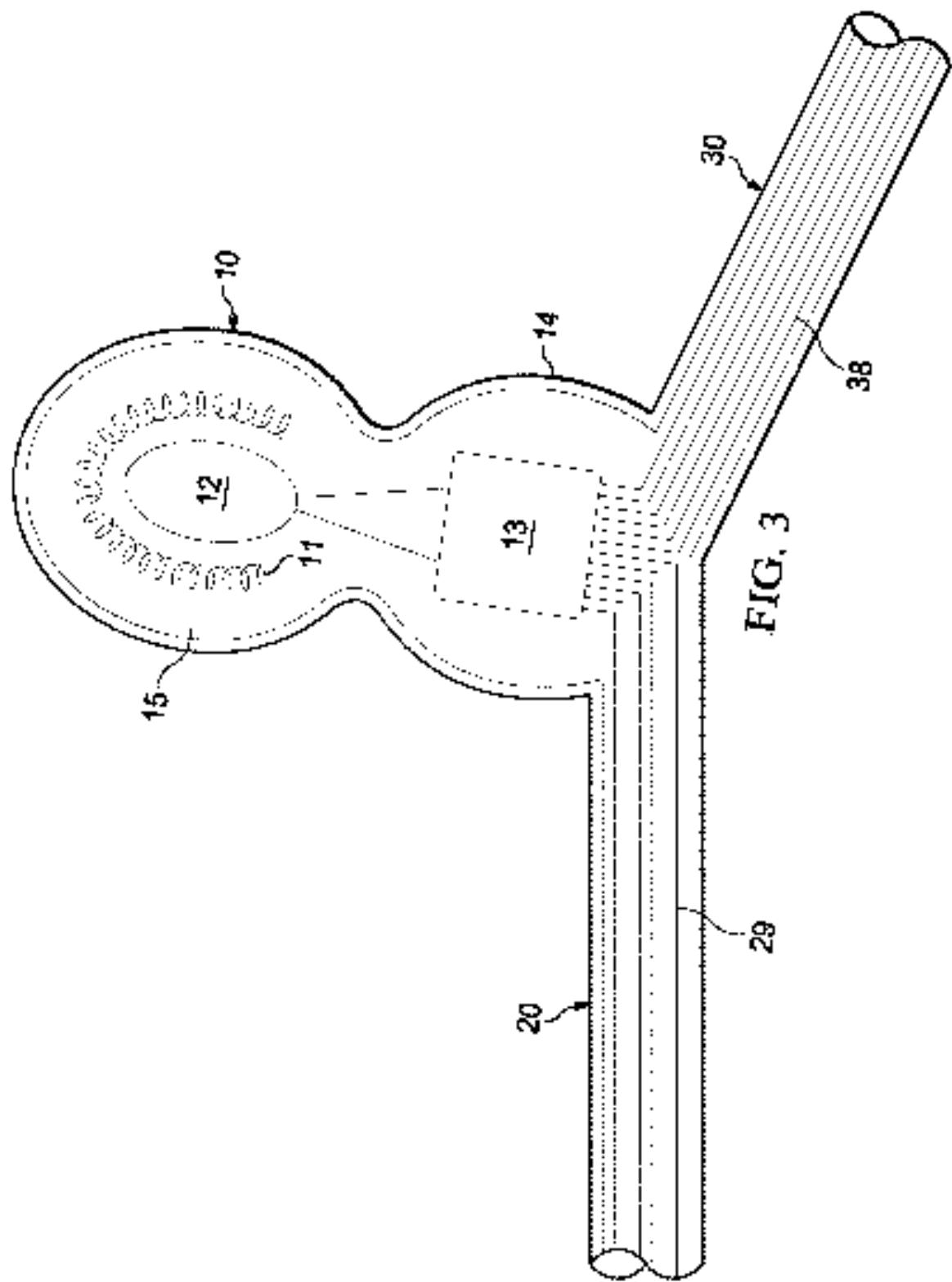
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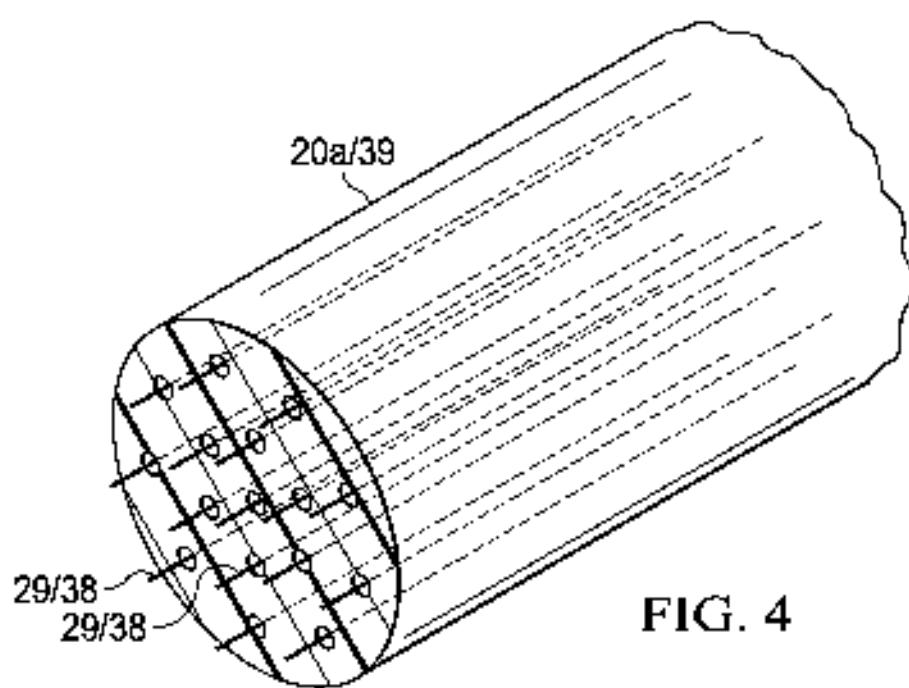


FIG. 4

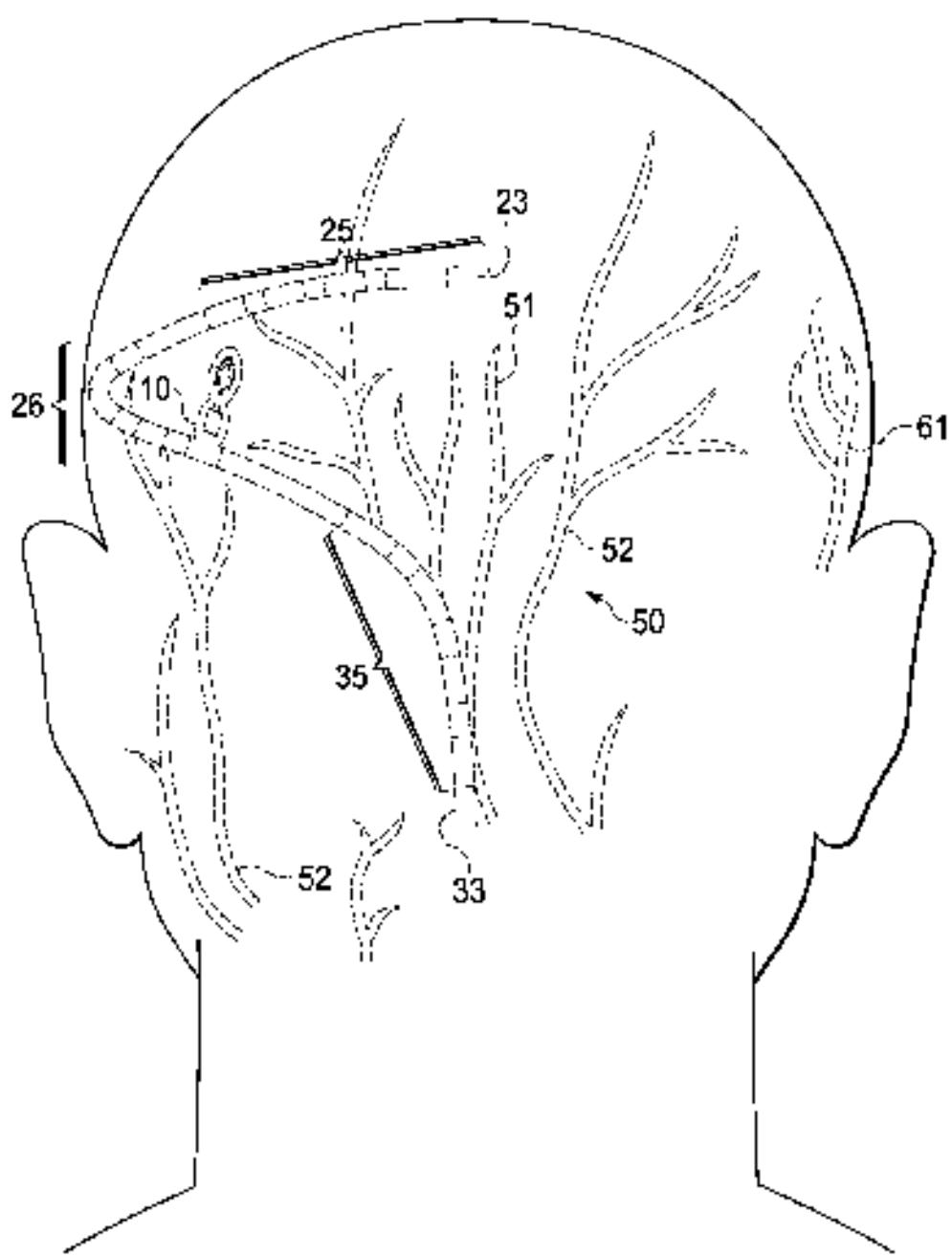


FIG. 5

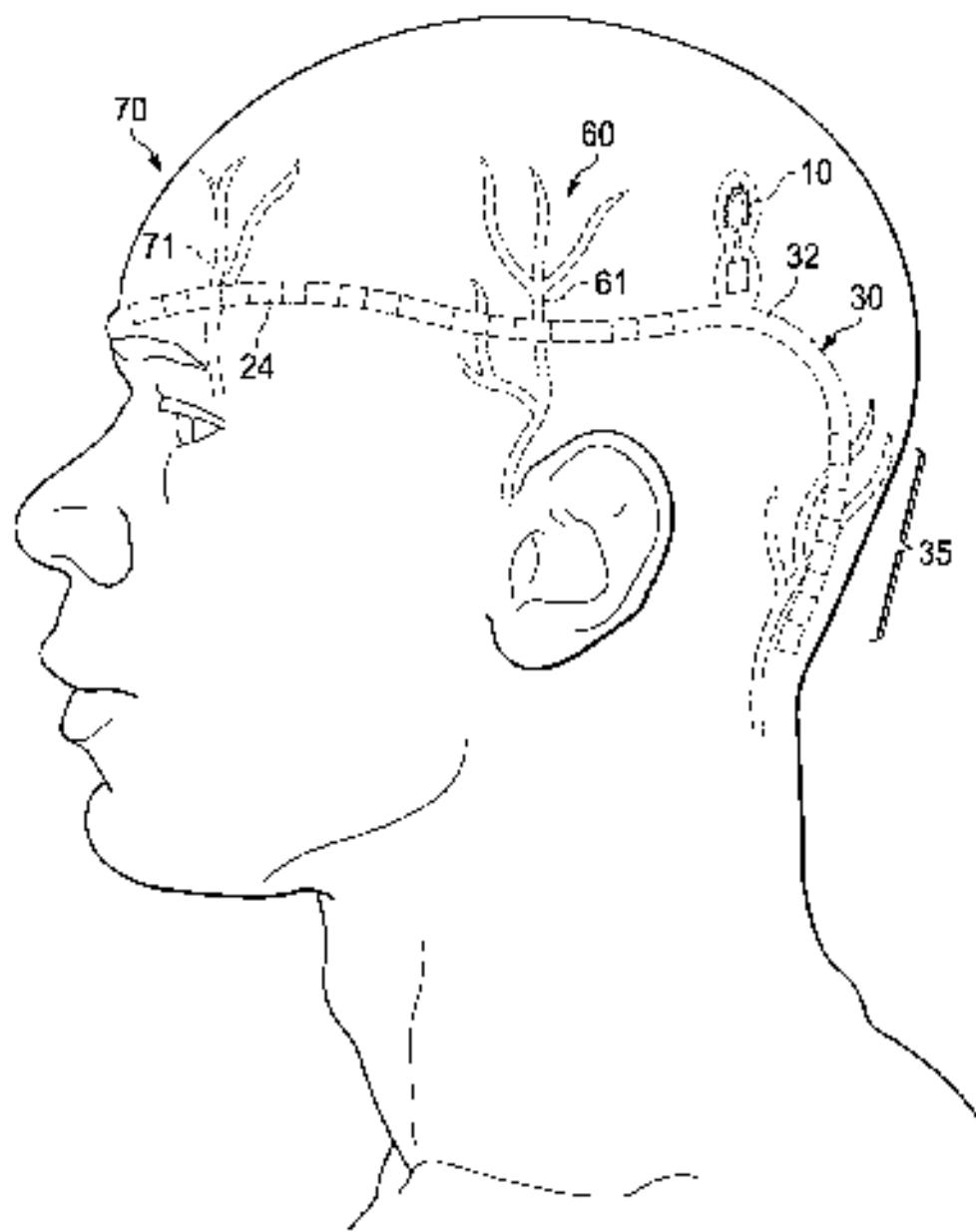


FIG. 6

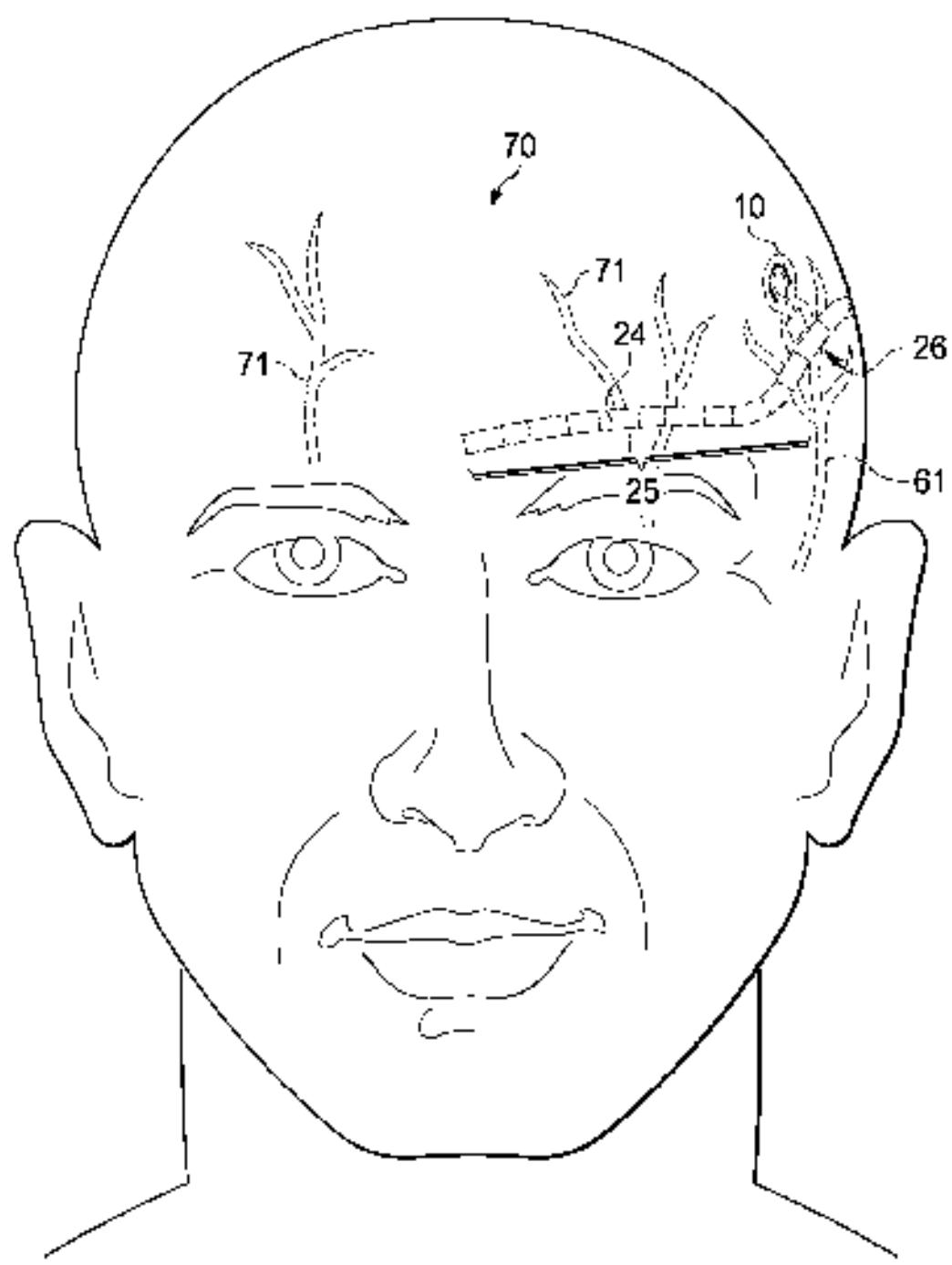


FIG. 7

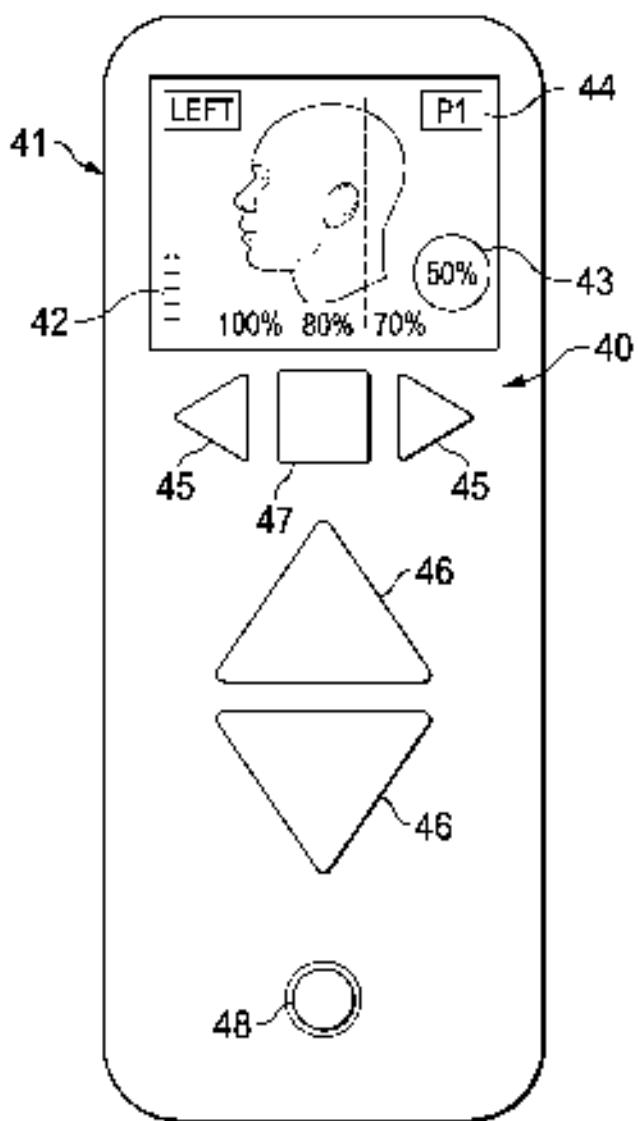


FIG. 8A

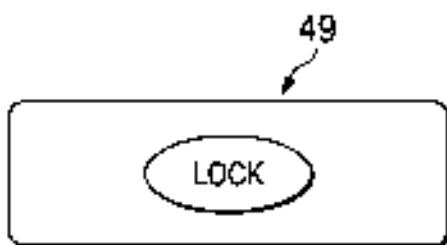


FIG. 8B

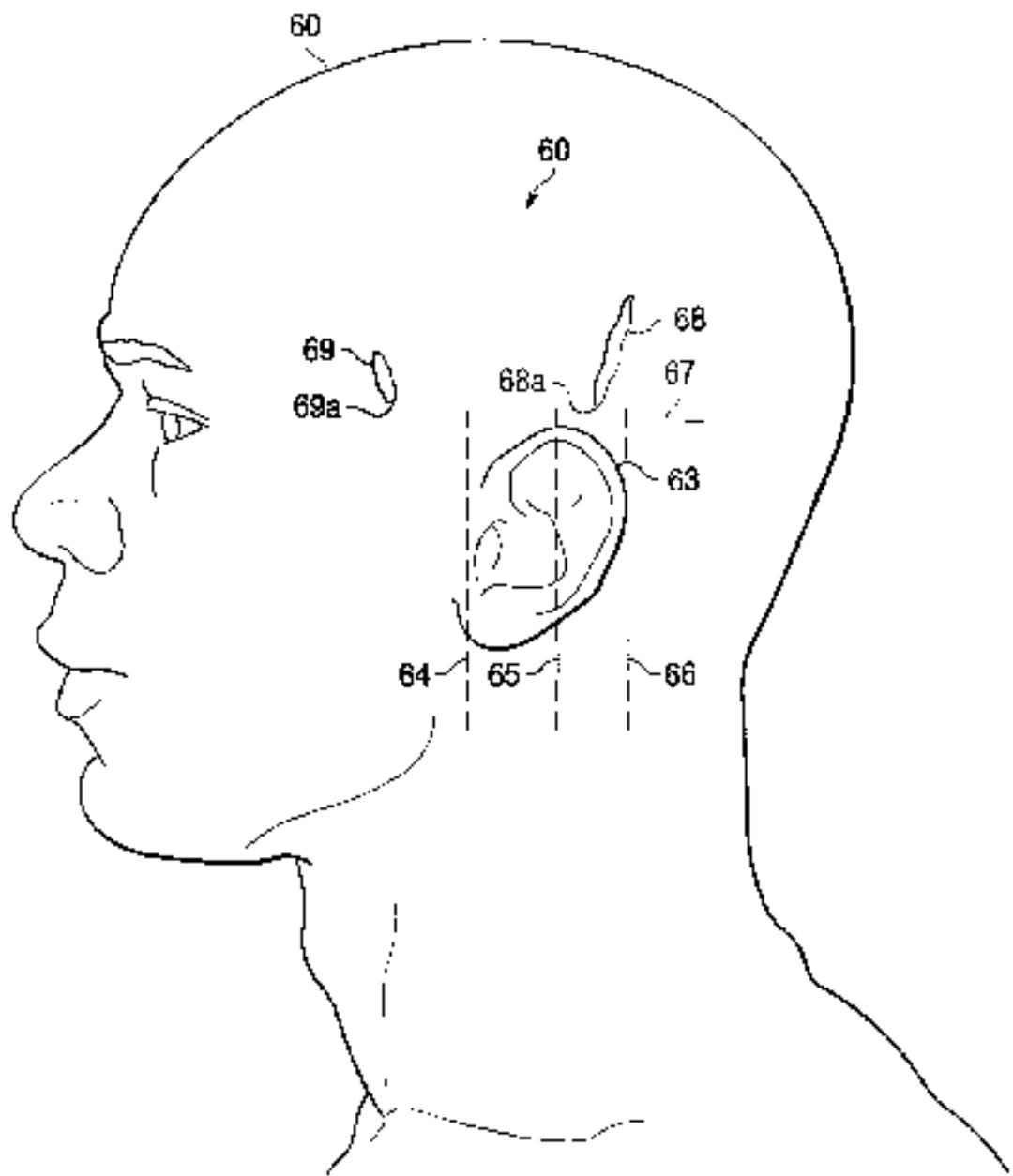


FIG. 9

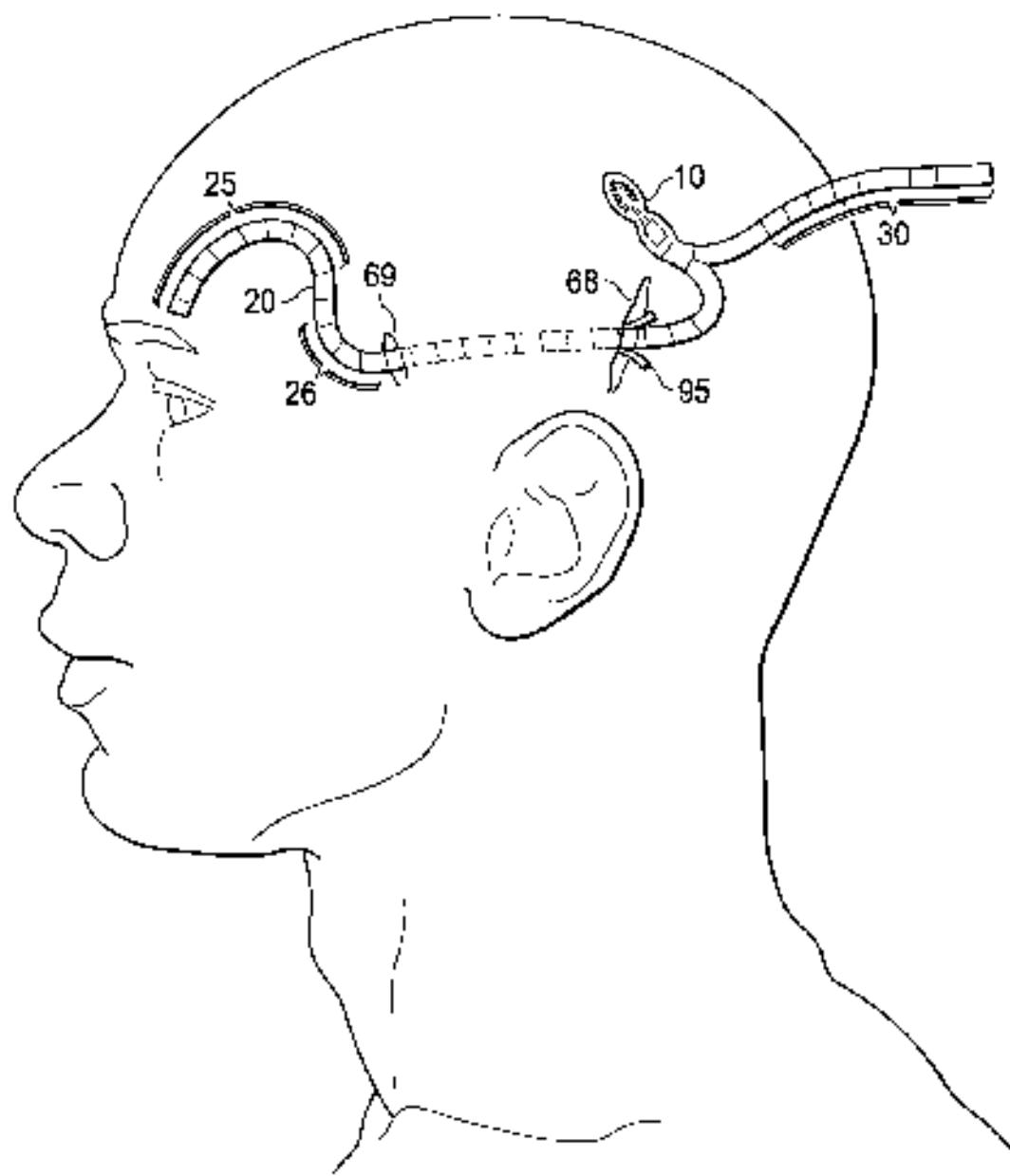


FIG. 10

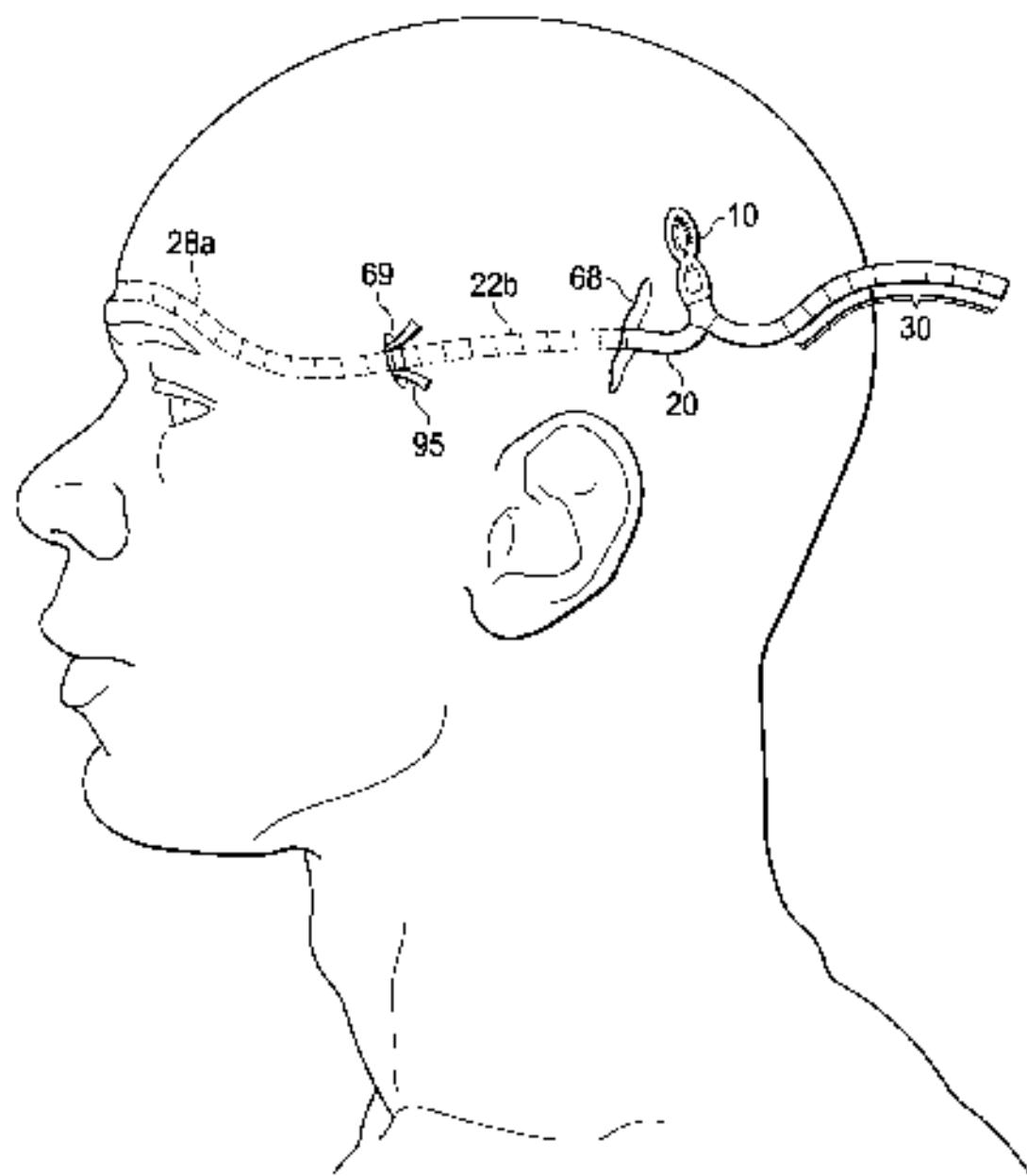


FIG. 11

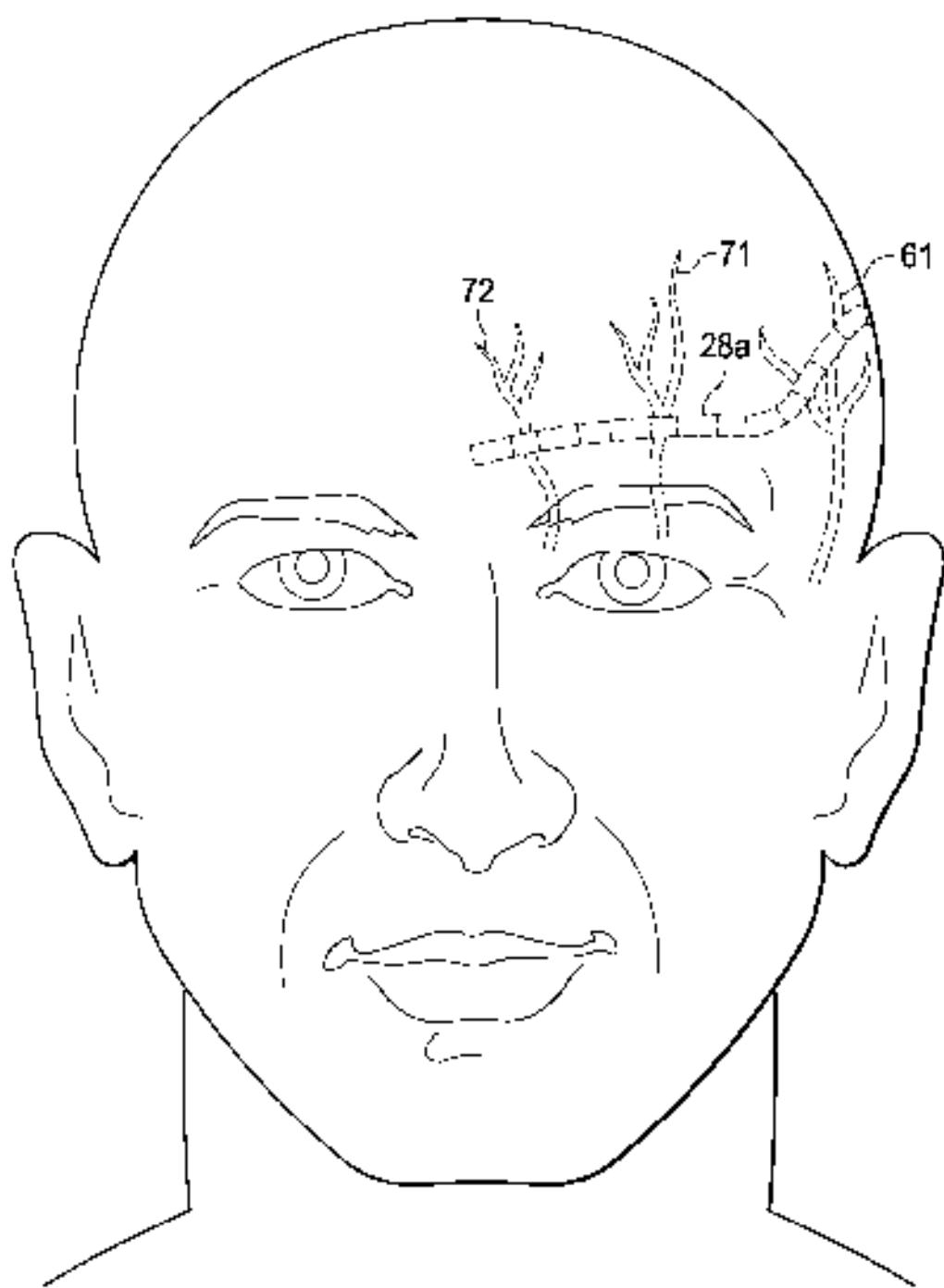


FIG. 12

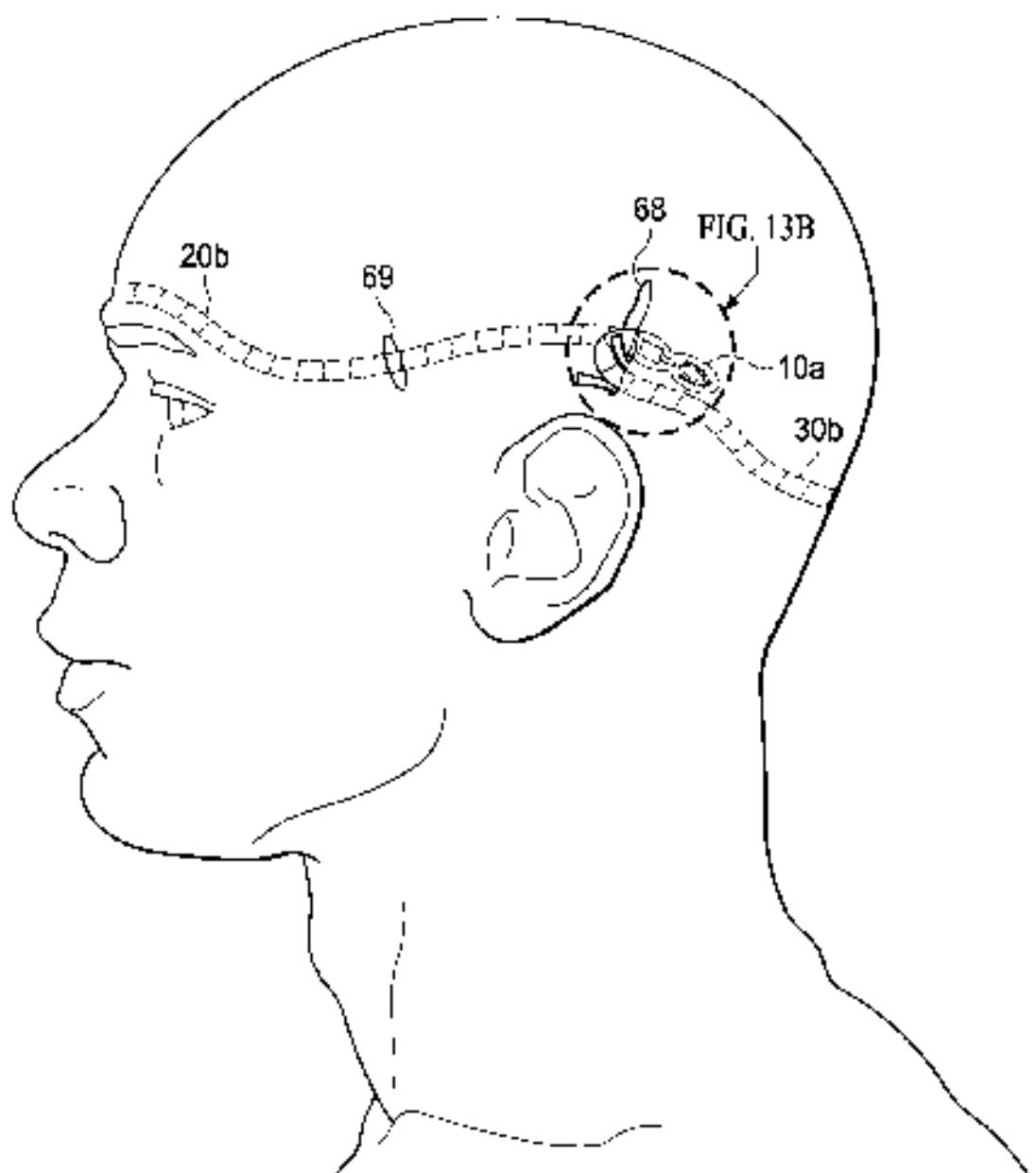


FIG. 13A

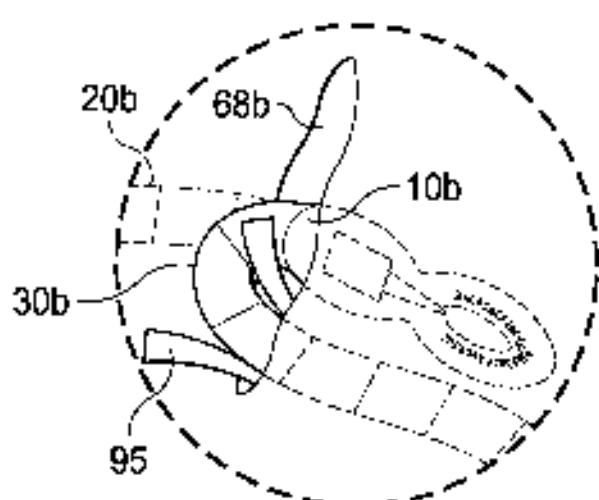


FIG. 13B

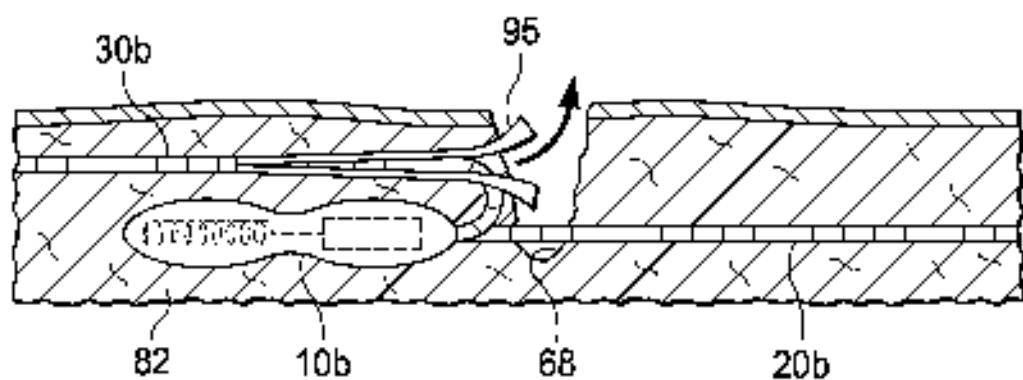


FIG. 14

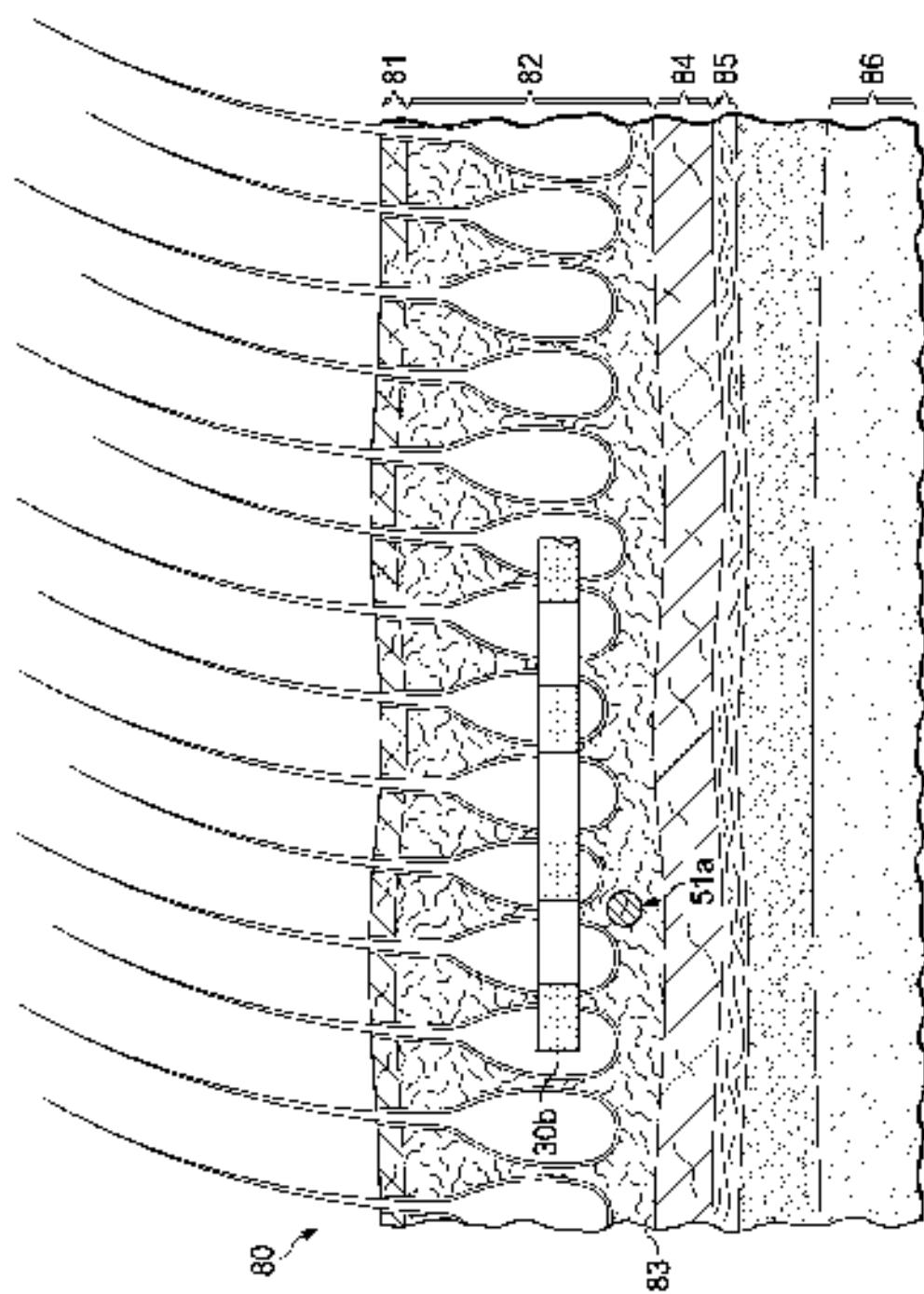


FIG. 15

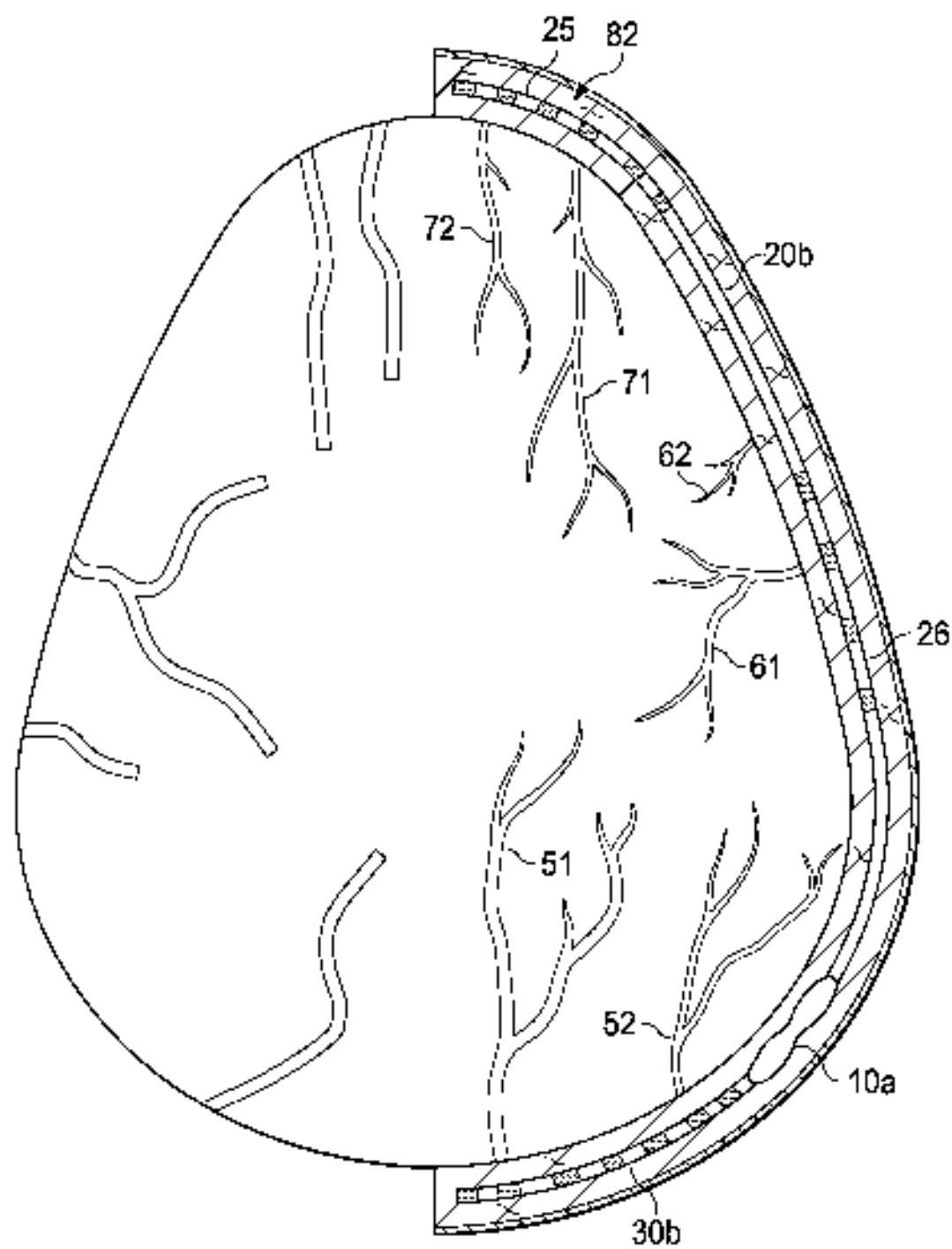


FIG. 16

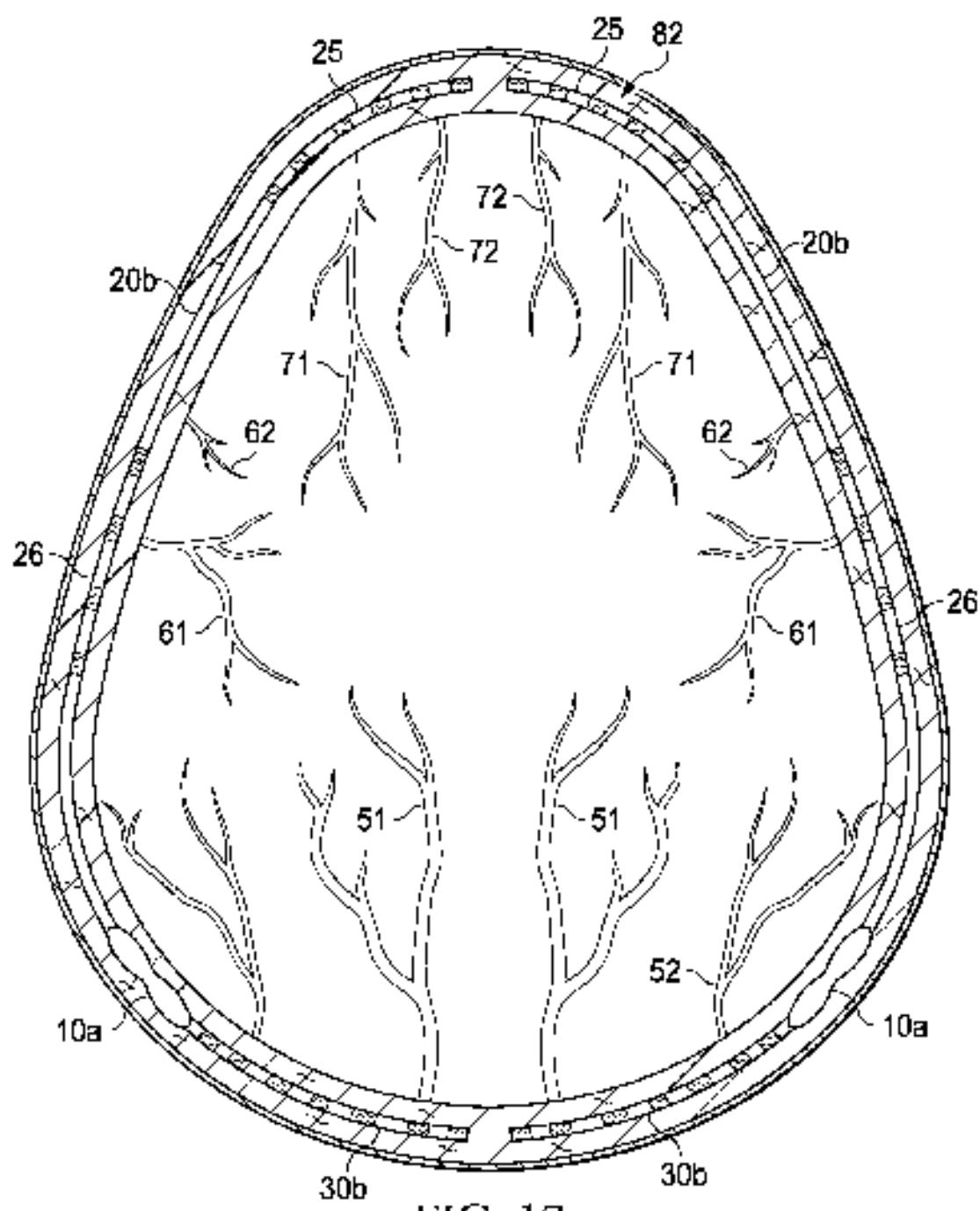


FIG. 17

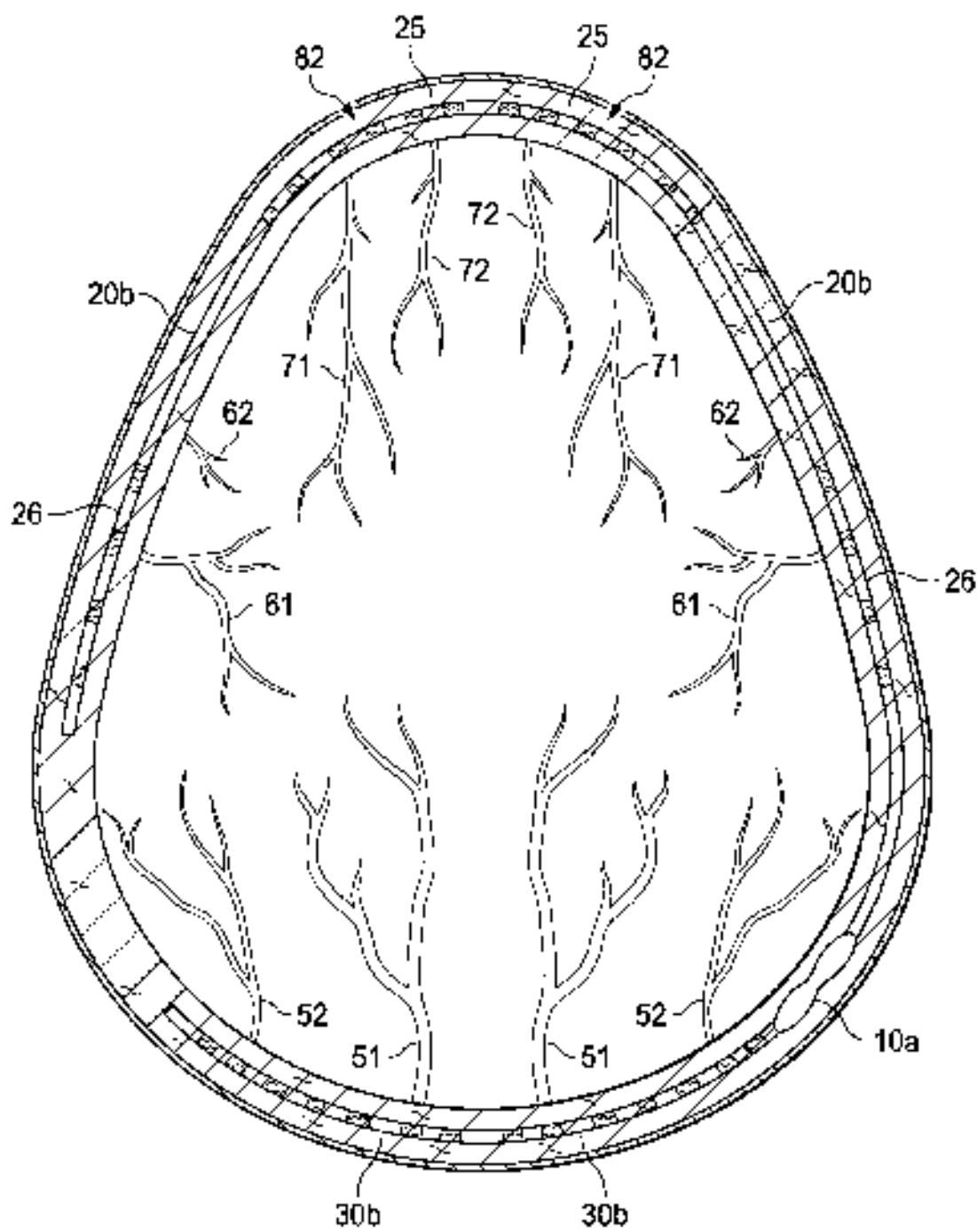


FIG. 18

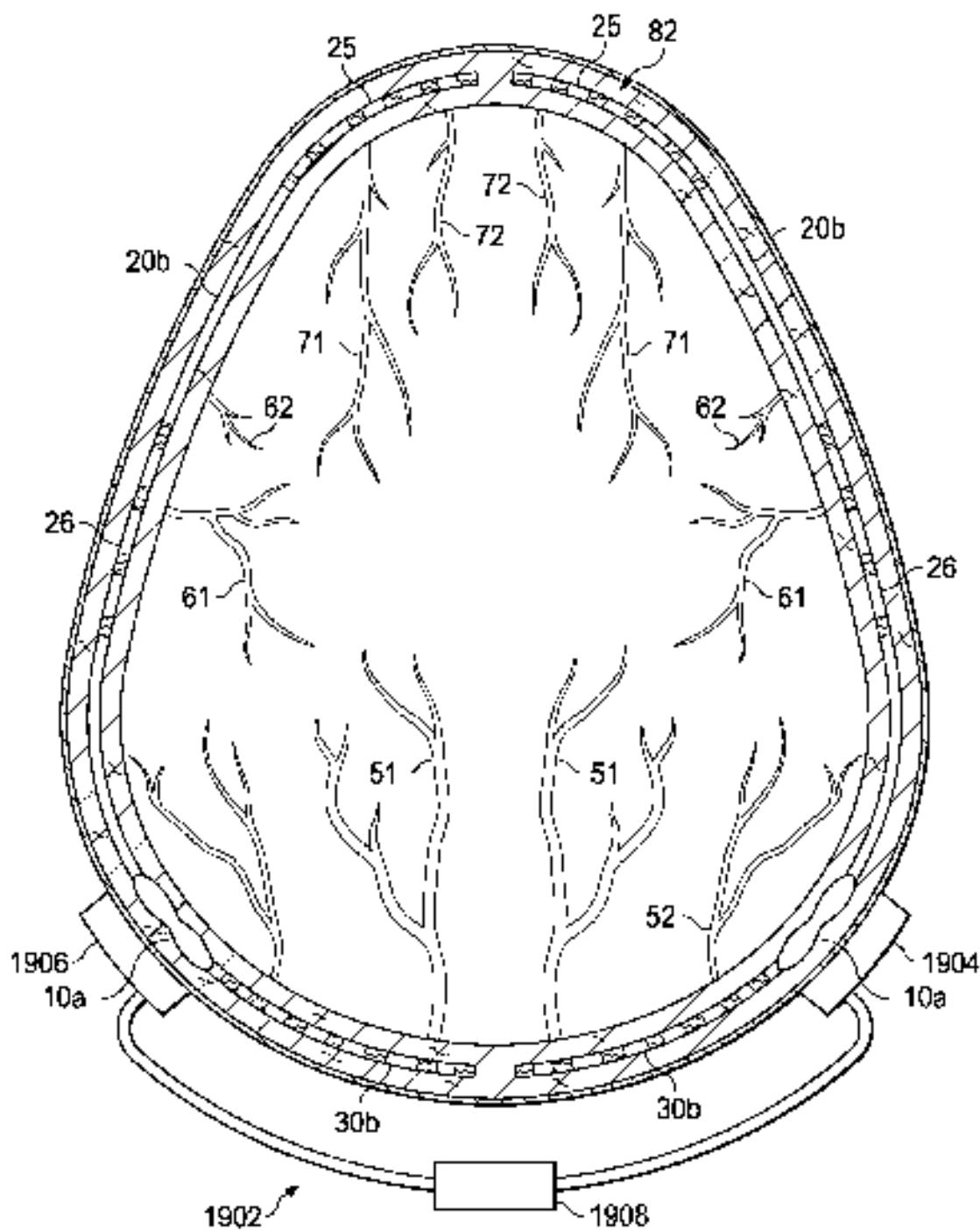
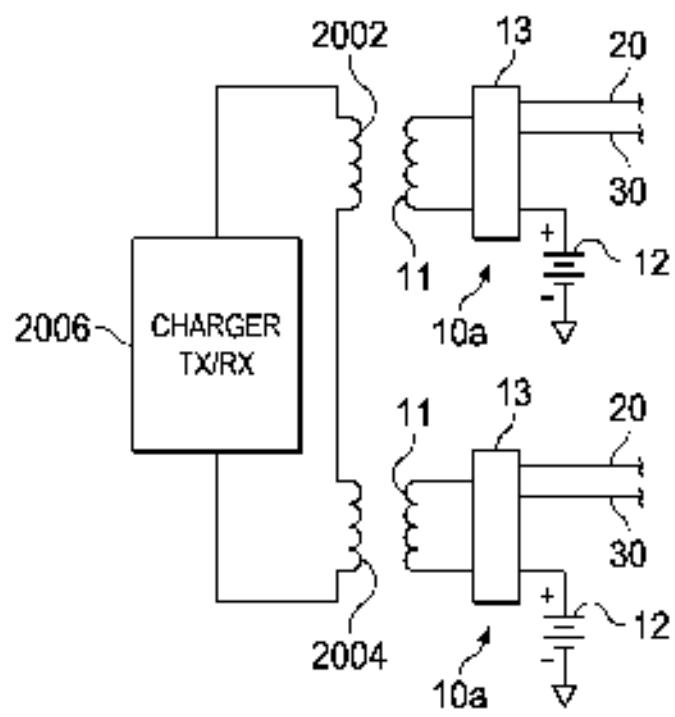
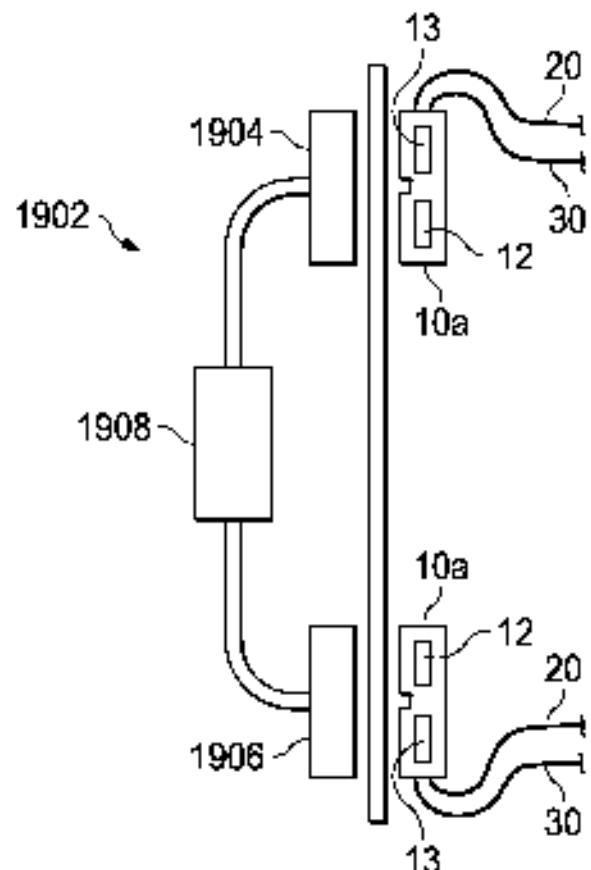


FIG. 19



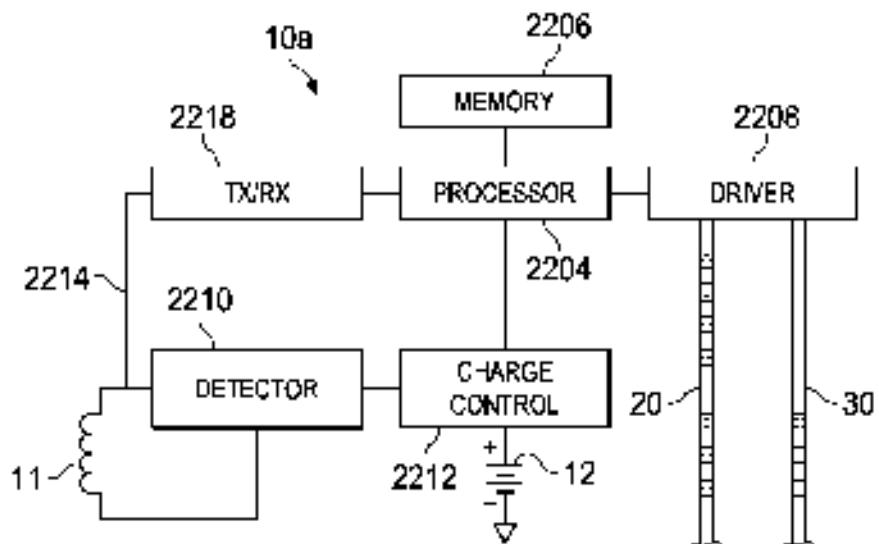


FIG. 22A

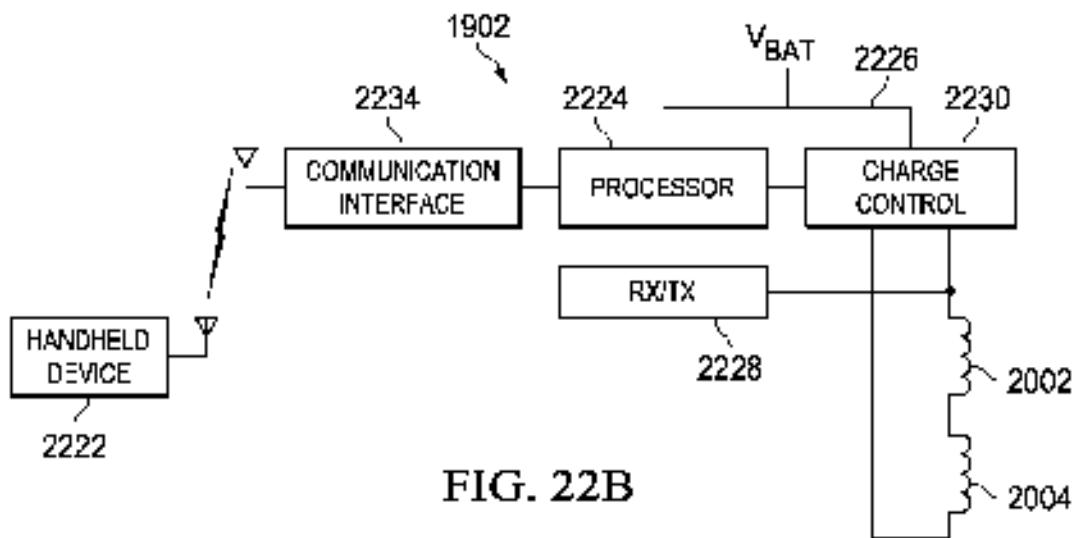


FIG. 22B

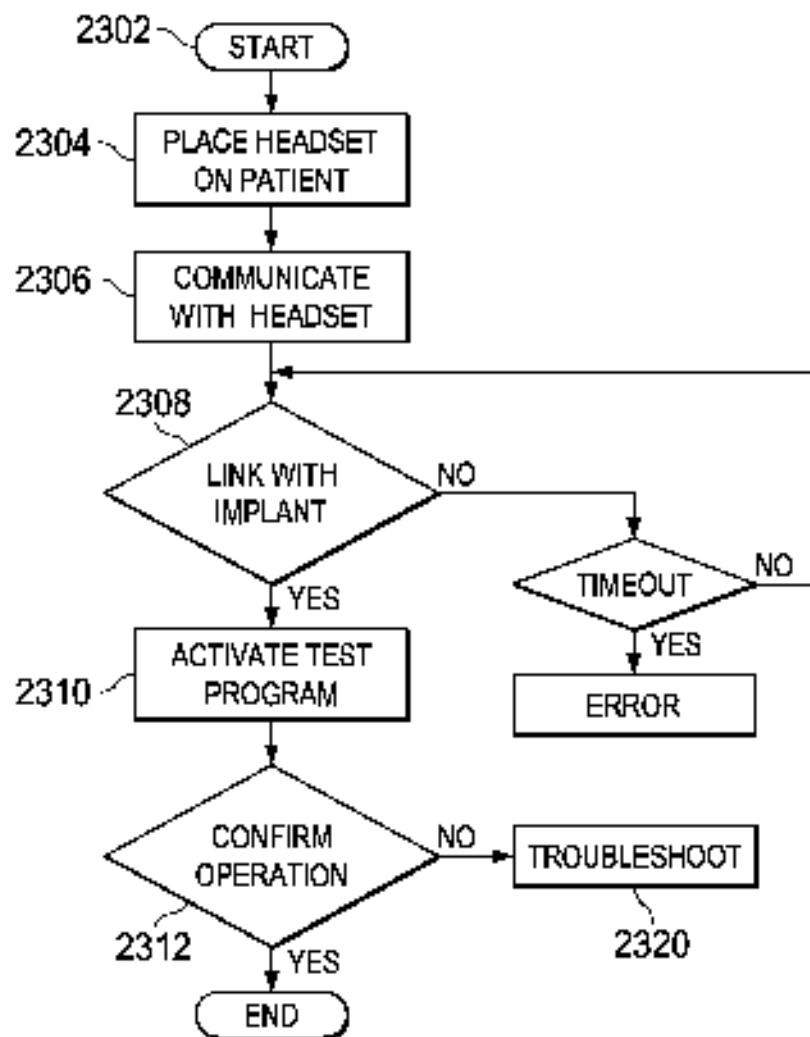


FIG. 23

# SURGICAL METHOD FOR IMPLANTABLE HEAD MOUNTED NEUROSTIMULATION SYSTEM FOR HEAD PAIN

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a continuation in part of U.S. patent application Ser. No. 14/757,312, filed May 20, 2015, entitled IMPLANTABLE HEAD MOUNTED NEUROSTIMULATION SYSTEM FOR HEAD PAIN, which is a continuation of U.S. patent application Ser. No. 14/460,139, filed Aug. 14, 2014, published on Apr. 23, 2015 as U.S. Patent Application Publication No. 2015-0112409, now U.S. Pat. No. 9,042,991, issued on May 26, 2015, which claims benefit of U.S. Provisional Application No. 61/894,795, filed Oct. 23, 2013, entitled IMPLANTABLE HEAD MOUNTED NEUROSTIMULATION SYSTEM FOR HEAD PAIN, U.S. application Ser. Nos. 14/317,312, 14/460,139 and 61/894,795, U.S. Patent Application Publication No. 2012-0112409, and U.S. Pat. No. 9,042,991 are incorporated by reference in their entirety.

This application is related to U.S. patent application Ser. No. 14/460,131, filed Aug. 14, 2014, published on Feb. 19, 2015 as U.S. Patent Application Publication No. 2015-0051578, entitled IMPLANTABLE HEAD MOUNTED NEUROSTIMULATION LEAD FOR HEAD PAIN, which claims benefit of U.S. Provisional Application No. 61/895,893, filed Aug. 14, 2013, U.S. application Ser. No. 14/460,111 and 61/895,893 and U.S. Patent Application Publication No. 2012-0112409 are incorporated by reference herein in their entirety.

## TECHNICAL FIELD

The present disclosure relates generally to a head located implantable neuromodulation system and, specifically, to methods of implanting a fully head located spinal and peripheral neuromodulation system that is utilized for the purpose of treating chronic head pain.

## BACKGROUND

Neurostimulation systems comprising implantable neuromodulation leads are used to treat chronic pain. Conventional implantable peripheral neurostimulation leads are designed for placement in the spinal canal as part of a spiral cord stimulation system, and/or for therapeutic purposes of treating various forms of chronic back and extremity pain.

Until the present invention, implantable neuromodulation systems for head pain essentially involved deep brain stimulation, where electrodes were positioned in the substance of the brain (with traditional spinal cord stimulation systems that were adopted and adapted for the treatment of head pain) or implantable systems for neuromodulation of the vagus nerve or sphenopalatine ganglion.

Historically, the most common case involves the adoption of spinal cord stimulators for the purpose of peripheral nerve stimulation, and, that all publicly available implantable neuromodulation systems utilized for the treatment of chronic head pain have been originally designed specifically as spinal cord stimulation systems for the therapeutic purpose of treating chronic back and extremity pain. As these systems were developed for implementation in the back, their design did not contemplate the anatomic and physiologic features unique to the head and chronic head pain, which are so significantly different from the anatomy of the spinal canal, and pathophysiology of chronic back pain, than when

spinal cord stimulators were utilized for spinal implants, the clinical problems associated with these differences ultimately manifested themselves.

These well documented and clinically significant ambiguities relate to issues of patient safety and satisfaction, including the risk of an inadequate or suboptimal therapeutic response, issues with patient comfort and cosmetics, and an increased risk of surgical complications and technical problems. Several specific inherent deficiencies in device design and method of implant are manifest these deficiencies and ambiguities. Likely the most common technical problem deficiency is the fact that the implantable pulse generator (IPG) must necessarily be implanted at a considerable anatomic distance from the cranial lead implants. Indeed, the leads must pass from their distal cranial implant positions across the cervical region and upper back to the IPG implant location, which are most commonly at the lower neck, lower abdomen, or inguinal region. The related problems lie in the fact that the leads must cross multiple craniocervical motion segments (neck and back). Here, the simple motions of normal daily life produce added tension and torque forces on the leads across those motion segments, which in turn increase the risk of technical problems, including lead migration and/or lead fracture. A second problem relates to the relatively large size of the IPG, which contributes to local discomfort, cosmetic concerns, and the fact that should the IPG pocket become infected, the related clinical problem parallels the relatively large size of the IPG; that is, the larger the IPG, the larger the pocket, and the larger and more problematic any accompanying infection. Additional inherent problems include the added risks, especially infection, volume dependence, discomfort, and cosmetic problems associated with the multiple additional incisions that are necessary to pass the leads from the IPG to their terminal positions in the head.

## SUMMARY

In various implementations, an implantable head-mounted, umbody peripheral nerve stimulation system may be configured for implantation in substantially all electronics, including an on-site battery, at or near the implanted electrodes on the skull. The system may include an implantable pulse generator (IPG) from which two neurostimulating leads may extend a length sufficient to provide therapeutic neuromodulation unilaterally over the frontal, parietal and occipital regions of the human brain. The system may be operable to provide medically acceptable therapeutic neuromodulation to multiple regions of the head, including the frontal, parietal and occipital regions of the cerebrum, simultaneously.

Each of the leads may include an extended lead body; a plurality of surface metal electrodes disposed along the lead body, which may be divided into two or more electrode arrays; and a plurality of internal electrically conducting metal wires running along a least a portion of the length of the lead body and individually connecting an internal circuit to the X1 to X10 individual surface metal electrodes. The extended lead body may comprise a medical grade plastic. The IPG may include a rechargeable battery, an antenna coil, and an application specific integrated circuit (ASIC). The IPG may be configured for functionally connecting with an external radiofrequency unit. The external radiofrequency unit may be operable to perform various functions including recharging the rechargeable battery, magnetically evaluating the IPG, and programming the IPG.

Implementations may include one or more of the following features. The IPG may be of proper aspect ratio with respect to the specific site of intended stimulation in the brain, such as an area posterior to and/or anterior to the brain. There may be an external pacemaker programming unit that is capable of achieving a radiofrequency couple to the implanted IPG. The IPG may have a rechargeable battery as a power source. The rechargeable battery may be inductively recharged through the skin.

Implementations may include one or more of the following features. A neurostimulating lead may not include a central channel for a stylet. A neuron including lead may have a smaller diameter than conventional leads.

Implementations may include one or more of the following features. The system may include the disposition of a sufficient plurality of surface electrodes over a sufficient linear distance along the neurostimulating leads to enable medically adequate therapeutic stimulation across multiple regions of the head, including the frontal, parietal, and occipital region of the hemispheres substantially simultaneously. The extended array of surface electrodes may be divided into two or more discrete transcutaneous surface electrode arrays. The frontal layout of the multiple surface electrode arrays may include at least one array positioned over the frontal region, at least one array positioned over the parietal region, and at least one array positioned over the occipital region.

Specific inter-array design features may include variations in the specific number of electrodes allotted to each group; the shape of the electrodes, e.g., whether the electrodes are cylindrical or flattened, the width of each electrode within each array, and the linear distance intervals of separation of the electrodes within each array.

Various implementations may include a plurality of connector ports that can be connected with a plurality of leads and thus allow for a teaching additional leads.

In various implementations, methods of treating chronic pain may include methods of treating chronic head and/or face pain of multiple etiologies, including migraine head/aches and other primary headaches including cluster headaches, tensionic, continuous, headaches, tension-type headaches, chronic daily headaches; further including secondary headaches, such as cervicogenic headaches and other secondary musculoskeletal headaches.

In various implementations, methods of treating chronic pain may include methods of treating head and/or face pain of multiple etiologies, including greater occipital neuralgia, as well as the other various occipital, neuralgias, supraorbital neuralgia, anterior-temporal neuralgia, infratemporal neuralgia, and other trigeminal neuralgias, and of the head and face neuropathic related head and/or face pain.

In various implementations, methods of treating chronic pain may include methods of treating head and/or face pain of multiple etiologies, including greater occipital neuralgia, as well as the other various occipital, neuralgias, supraorbital neuralgia, anterior-temporal neuralgia, infratemporal neuralgia, and other trigeminal neuralgias, and of the head and face neuropathic related head and/or face pain.

In various implementations the entirely neurostimulator system with two leads, including one with multiple arrays, is fully implanted with all components positioned within the subcutaneous layer of the skin and without the requirement of sutures, anchors, or other fixation devices to fix the systems, or previous fixation in position.

The details of one or more implementations are set forth in the accompanying drawings and the descriptions below.

Other features, objects, and advantages of the implementations will be apparent from the description and drawings.

## BRIEF DESCRIPTION OF THE DRAWINGS

For a more complete understanding of this disclosure and its features, reference is now made to the following description, taken in conjunction with the accompanying drawings, in which:

- 19 FIG. 1 depicts a side view of a head-mounted, tank-like neurostimulator system for migraine and other head pain. The system features an implantable pulse generator (IPG) from which two neurostimulating leads extend—a Frontal-Parietal Lead (FPL) and an Occipital Lead (OL). Each lead includes a plurality of electrodes in a distribution and over a length to allow full unilateral coverage of the frontal, parietal, and occipital portions of the head.

- 20 FIG. 2 depicts a side view of a Frontal Electrode Array (FEA) with internal wires. The FEA is disposed over the distal portion (such as 8-10 cm) of the FPL, which anatomically places it over the frontal region, and specifically over the supratrochlear nerve and other adjacent nerves of the region. In general, the layout, disposition, and connections of the Internal Wires and Surface Electrodes disposed over the Frontal Electrode Array (FEA) and the Occipital Electrode Array (OEA) are the same as that depicted for the FEA.

- 21 FIG. 3 depicts a side view of the internal wires exiting from the IPG; internal circuitry in the Surface Electrodes disposed over the FPL and the OL.

- 22 FIG. 4 depicts a cross-sectional view of a Head Central Body comprising a Cylindrical Lead Body with Internal Wires; between the IPG Internal Circuit and the Lead Surface Electrodes.

- 23 FIG. 5 depicts a side view of a Head with a full Head-Mounted Neurostimulator System in-Situ. Prominent here is the OL depicted passing from the IPG centrally and medially across the occipital region, whereby the OEA is disposed in a fashion to cross over and cover the major associated nerves—primarily the greater occipital nerve, but typically including the lesser and/or third occipital nerve as well. Also depicted are the FPL and the OEA of the IPG as they cross and cover the primary nerves of the Parietal Region, including the auriculo-temporal nerve, and the Frontal Region, including the supraorbital nerve.

- 24 FIG. 6 depicts a side view of a Head with a full Head-Mounted Neurostimulator System In-Situ. Prominent here is the IPG, as it covers a portion of the Parietal Region, and the major associated nerves, including the auriculo-temporal nerve, as well as adjacent cutaneous nerves. Also depicted are the courses of the distal portion of the FPL and the OLs, as they pass over and cover the associated nerves of the Frontal (Supraorbital) and Occipital Regions.

- 25 FIG. 7 depicts a front view of a Head with a full Head-Mounted Neurostimulator System In-Situ. Prominent here is the FPL, as it covers a portion of the Frontal (Supraorbital) Region and the major associated nerves—primarily the supraorbital nerve, but also optionally the greater trochlear nerve, as well as adjacent nerves. Also depicted is the course of the periorbital portion of the FPL.

- 26 FIGS. 8A and 8B depicts a front view and a side view of a Portable Programmer for a Head-Mounted Neurostimulator System.

- 27 FIG. 9 depicts a side view to a head and initial interventional step in the procedure.

- 28 FIG. 10 depicts a side view of the head and the next step in the procedure following that depicted in FIG. 9;

FIG. 11 depicts a side view of the head and the next step of the procedure of owing that depicted in FIG. 10.

FIG. 12 depicts a frontal view of the IPG having been implanted subcutaneously as discussed in FIG. 11.

FIGS. 13A and 13B depict a side view of the next step in the procedure after the step depicted in FIGS. 11 and 12.

FIG. 14 depicts a cross section view of the skin at the Subcutaneous Incision in the shape of the procedure depicted in FIG. 13. Prominent here is the IPG in its Subcutaneous Pocket, as well as the initial proximal segment of the IP and the OT as they pass over the Subcutaneous Layer. The Peel-Away Introducer noted in FIG. 13 is also prominent.

FIG. 15 depicts a cross section view of the skin at the point where the Active Electrode Array of the OT has been positioned over (superficial to) the Subcutaneous Layer.

FIG. 16 depicts a view of the head from the top after the full neuromodulator system has been implanted.

FIG. 17 depicts two implanted IPGs with leads extending both sides of the head.

FIG. 18 illustrates the enrichment of FIG. 17 with a charging/communication feature disposed about the unit(s).

FIG. 19 illustrates a diagrammatic view of the neural interface with the implants.

FIG. 21 illustrates a schematic view of the implants and feature.

FIGS. 22A-B illustrate block diagrams of the lead/implant system and.

FIG. 23 is a flowchart for the activation process to test the implant(s) after implantation.

#### INDEX OF ELEMENTS

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- 12 Battery
- 13 Application-Specific Integrated Circuit
- 14 Medical Plastic Cover
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- 20a Plastic Body Member
- 20b Frontal-Parietal Lead Passed Subcutaneously
- 21 Distal End
- 22 Proximal End
- 22a Proximal Lead Segment
- 22b Proximal Lead Segment Passed Subcutaneously
- 23 Distal Non-Stimulating Tip
- 24 Surface Metal Electrode
- 25 Frontal Electrode Array
- 26 Parietal Electrode Array
- 27 Inter Array Interval
- 28 Point of Cross Section FIG. 4
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- 30 Occipital Lead
- 30a Occipital Lead Passed Subcutaneously
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- 32a Proximal Lead Segment
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- 42 Femoral Clavige Shisha
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- 80 Scalpel
- 81 Local Anesthetic Infiltrated in Skin
- 82 Syringe
- 83 Spp Diluter
- 84 Peel Away introducer
- 85 Flex Elevator
- 86a Lux Elevator Handle
- 86b Lux Elevator Tissue Spatula

#### DETAILED DESCRIPTION

Referring now to the drawings, wherein like reference numbers are used herein to designate like elements throughout the various views and embodiments of implantable lead-treated neuromodulation system for lead pair are illustrated and described, and other possible configurations are described. The figures are not necessarily drawn to scale, and in some instances the drawings have been exaggerated and/or simplified in places for illustrative purposes only. One of ordinary skill in the art will appreciate the many

possible applications and variations based on the following examples of possible arrangements.

## A INTRODUCTION

The present disclosure provides a fully lead-located implantable peripheral neurostimulation system designed for the treatment of chronic head pain. It incorporates multiple elements and ensures that due to account the unique anatomic, physiologic, and other related challenges of treating head pain with implantable neurostimulation, thereby greatly improving on therapeutic response, patient safety, medical risk, and medical costs, which combine to improve overall patient satisfaction.

Prior implantable peripheral neurostimulation systems and components, including leads and pulse generators, have been designed and developed specifically as spinal cord stimulators or systems and for the specific therapeutic purpose of treating chronic back and extremity pain. Over the years, these spinal cord stimulators were ultimately accepted and adapted, or use as implantable peripheral nerve stimulators for the treatment of migraine headaches, and other forms of chronic head pain; however, they were so utilized with full recognition of the inherent risks and limitations given that they were developed only to address, and accommodate to, the unique anatomic and physiologic features of the back and chronic back pain.

U.S. Provisional Patent Application Ser. No. 61/895,893 describes the manifold problems associated with the application of spinal cord stimulators for head pain as fundamentally due to design flaws associated with, and inherent to, the use of an implantable therapeutic device in an area of the body that it was not designed for.

Indeed, the anatomy of the head, and the pathophysiology of headaches, and other forms of head pain, are so significantly different from the anatomy of the spinal canal, and pathophysiology of chronic back pain, that when spinal cord stimulators are utilized for chronic implant. The clinical problems associated with these differences manifest themselves. Importantly, these well documented problems are clinically very significant and include issues in patient safety and satisfaction, the risk of an incomplete, or suboptimal, therapeutic response, and issues with patient comfort and aesthetics, as well as a recognized increased risk of surgical complications and technical problems.

These technical issues stem from the design of conventional leads and the IPG. Conventionally, lead designs include a relatively large diameter, a very cylindrical shape, relatively inadequate leads and the necessity of implanting the IPG in the torso and distal from the distal ends, and a number and disposition of the surface electrodes and active lead arrays that do not match the requirements. A cylindrical lead of relatively large diameter results in increased pressure on, and modified routing of, the underlying skin, particularly of the forehead. Because conventional leads are of inadequate length to extend from the head to the IPG implant site, commonly in the lower back, abdominal, or gluteal region, lead extensions are often employed, and there are attendant risks of infection, local discomfort, and cosmetic concerns.

With respect to prior leads: 1) There is only a single array of electrodes, with common lead entries including 4, 8, or 12 electrodes disposed over a single array; 2) The array is relatively short, with most leads having an array of from 5-12 contacts; 3) Within this single array, the individual electrodes are disposed uniformly with constant, equal inter-electrode distances. This results in the need to implant

multiple (often four or more) of the conventional leads to adequately cover the painful regions of the head.

There are several practical clinical outcomes that result from the use of prior leads for the treatment of chronic head pain. First, since they comprise a single, relatively short active array, the currently available leads provide therapeutic stimulation to only a single region of the head; that is, they can provide stimulation to only the frontal region, or a portion of the temporal region, or a portion of the occipital region. Therefore, if a patient has pain that extends over multiple regions, then multiple separate lead implants are required—basically one lead implant is required for each unilateral region. A great majority of patients with chronic headaches experience holocranial pain; that is, they experience pain over the frontal and parietal and occipital regions bilaterally. Therefore, commonly these patients will need 4 to 7 leads implanted to achieve adequate therapeutic results (2 or 3 leads on each side).

Second, the need for multiple leads includes considerable added expense, and more importantly, added medical risk associated with adverse events attendant to the multiple surgical procedures. Such adverse events include an increased risk of infection, bleeding, and technical issues with the leads, e.g., lead fracture, lead migration, and lead insulation.

Third, as the clinical database discloses, the inter-electrode spacing may be of central therapeutic significance. That is, for example, whereas commonly pain over the occipital region is consistently effectively treated by quadripolar leads (leads with four evenly spaced electrodes) that have the electrodes relatively widely spaced apart (approximately 5 mm or more apart), clinically it is often found that electrode configurations that are more narrowly spaced may be more effective over the upper cervical nerve and region. Thus, a quadripolar lead that has the electrodes only 1-2 mm apart may be more effective in this region, as it allows for more precise control of the delivered electrical pulse wave delivery.

In contradistinction, is also of therapeutic significance. For example, whereas pain over the occipital region is commonly treated effectively by systems incorporating relatively widely-spaced quadripolar leads (four electrodes in approximately 1 cm, or more intervals), more narrowly spaced contacts are often more effective over the upper cervical region.

When an IPG is implanted, designed for spinal cord stimulation systems is employed as a peripheral nerve stimulator, or head pain, several outcomes result. First, the IPG is implanted at a considerable anatomical distance from the cranial lead implants. Indeed, the leads must pass from their distal cranial implant positions across the cervical region and upper back to the IPG implant location, which are most commonly in the lower back, lower abdomen, or gluteal region. The leads must cross multiple anatomical motion segments, including the neck and upper back and/or chest at a minimum, and commonly include the mid back, lower back, and waist supports, as well. The simple motions of normal daily life produce adverse tension and torque forces on the leads across these motion segments, which in turn increases the risk of various outcomes, including lead migration, and/or lead fracture. In addition, the relatively large size of a spinal cord stimulator IPG contributes to local discomfort, cosmetic concerns, and increased risk of infection that may require larger and harder to treat in proportion to the size of the IPG pocket.

The present disclosure is directed to an implantable head-located minimally peripheral neurostimulation system

11 includes an IPG, now which we neurostimulating leads extend to a length sufficient to allow for therapeutic neurostimulation unilaterally over the frontal, parietal and occipital regions of the head.

The present disclosure addresses and effectively solves problems attendant to publicly available leads. The most important of these is the fact that current leads can only adequately stimulate a single region of the head due to design elements associated with territorial surface electrode number and disposition. The disclosure additionally addresses and solves other problems inherent with the currently available leads, including problems with osmotic and patient control, particularly over the frontal regions, due the uneven orifice pressure placed on the skin of the forehead, due the cylindrical shape and relatively large diameter of the distal portion of the lead. Finally, the lead of the present disclosure solves the currently available leads' problem of inadequate lead length to reach a plated location of the implantable pulse generator, which therefore necessitates the additional risk and expense of further surgery to implant lead extensions.

In one aspect, the implantable, head-mounted, neurostimulation system for head pain is designed for subcutaneous implantation in the head, and to provide neurostimulation therapy for chronic head pain, including chronic head pain caused by migraine and other headaches, as well as chronic head pain due other etiologies. The peripheral neurostimulator system disclosed herein takes into account unique anatomic features of the human head, as well as the unique, or singular, features of the various pathologies that give rise to head pain, including, migraine and other headaches, as well as other forms of chronic head pain. This lead design for implantation in the head for chronic head pain recognizes that, thus far all commercially available systems that have been clinically utilized for implantation as a peripheral neurostimulator system were actually originally designed specifically for placement in the epidural space, as part of a spinal cord stimulation system, for the therapeutic purpose of treating chronic back and/or extremity pain. Thus, there are currently no commercially available leads or a complete system that have designs in the public domain, that have been designed and developed, or used in the head, and for head pain.

In another aspect, the implantable, head-mounted, neurostimulation system for head pain comprises multiple design features, including disposition of a sufficient plurality of surface electrodes over a sufficient length of the distal lead, such as will result in a lead that, as a single lead, is capable of providing medically adequate therapeutic stimulation over the entire hemispherical; that is, over the frontal, parietal, and occipital region substantially simultaneously. Currently available systems, which were designed specifically for epidural placement for chronic back pain, are capable of only providing stimulation over a single region that is over either the frontal region alone, or the parietal region alone, or the occipital region alone.

Currently available leads, which were designed specifically for epidural placement for chronic back pain, are capable of only providing stimulation over a single region that is over either the frontal region alone, or the parietal region alone, or the occipital region alone.

In yet another aspect, the implantable, head-mounted, neurostimulation system for head pain comprises multiple design features, including the physical grouping of the extended array of surface electrodes into three or more discrete hemispherical surface electrode arrays. The linear layout of these two or more (preferably three or more) surface

electrodes arrays is designed such that following implantation there would be at least one array positioned over the frontal region, at least one array positioned over the parietal region, and at least one array positioned over the occipital region. This feature further improves upon therapeutic effectiveness of the extended hemispherical surface electrode array sufficient for hemispherical stimulation by allowing for more precise control of the therapeutic neurostimulation parameters.

In still another aspect, the implantable, head-mounted, neurostimulation system for head pain comprises multiple design features, including incorporating individual design features within each of the three or more individual surface electrode arrays. Examples of such individual design features would include the specific number of electrodes allotted to each group; whether the electrodes are cylindrical or flattened, the width of each electrode within each array, and the linear distance (in mm's) of separation to the electrodes within each array. This feature further improves upon therapeutic effectiveness of the extended hemispherical surface electrode array sufficient for hemispherical stimulation, and the grouping of these electrodes into three or more separate surface electrode arrays, by providing each specific array location with a unique hemispherical design that also, in is account, and thereby seeks to optimize design elements that are known to be possibly or likely beneficial to the therapeutic end result, given the anticipated post-implant anatomic location of last array.

In yet another aspect, the implantable, head-mounted, neurostimulation system for head pain comprises multiple design features, including incorporating individual design features into a single lead design and thereby achieving additive benefits.

In yet another aspect, an implantable, head-mounted, neurostimulation system for head pain results in a marked decrease in the number of separate lead implants required to adequately treat a single patient. A single implant will provide the same therapeutic anatomic coverage that it would take the implementation of three or more of the currently available leads, that is, instead of the current implant, which often calls for three or more leads to be implanted to provide adequate hemispherical coverage. The entire anatomic region may be covered with a single stimulator lead implant. The lead can provide extended coverage over the ENT hemispherical, that is, achieving medically acceptable neurostimulation simultaneously over the frontal, parietal, and occipital regions simultaneously. In contrast, publicly known leads are able to consistently provide medically acceptable neurostimulation therapy only over a single region, meaning that it would require three separate surgically placed lead implants to achieve the same therapeutic coverage of a single implant of a lead of the present disclosure. This will decrease the total number of surgeries required, as well as the extent of each individual surgery, for many patients.

In another aspect, the present disclosure is directed to a system that is fully localized to the head, which removes the requirement of currently available systems of having long leads and extensions extending across the neck and back to IPG locations commonly in the low back and gluteal region, and thereby decreases the risk of problems attendant such long leads and extensions, including disconnection, infection, technical extension issues such as the rate, and other morbidities. This ultimately results in a decreased number of surgeries required by a patient.

In other aspects the system may include one or more of the following features. A neurostimulating lead may not require a central channel for a stylus, which would be

recoverability to secure the lead against migration. A neuro-stimulating lead may have a smaller diameter than currently available leads.

In other aspects, the system may include one or more of the following features. The system may include the disposition of a sufficient plurality of surface electrodes over a sufficient linear distance along the system's leads to enable medically adequate therapeutic stimulation across multiple regions of the head, and preferably the entire head/cranium; that is, over the frontal, parietal, and occipital region simultaneously. The extended array of surface electrodes may be divided into two or more discrete terminal surface electrode arrays, each capable of being designed for the particular associated region to be stimulated. The preferred basic layout of these multiple surface electrode arrays includes at least, one array positioned over the frontal region, at least, one array positioned over the parietal region, and at least one array positioned over the occipital region.

In other aspects, intra-array design features may include variations in the specific number of electrodes allotted to each group; the shape of the electrodes, e.g., whether the electrodes are cylindrical or flattened; the width of each electrode within such array, and the linear distance/intervall of separation of the electrodes within each array.

In other aspects, the system may include a plurality of connection ports that can be connected with a plurality of leads and thus allow for attaching additional leads should they later be required.

In another aspect, an implantable, lead-located, neuro-stimulation system for head pain comprises multiple design features, including features aimed at improving patient safety by improving the incidence of adverse events, including the risk of infection, as well as the risk and incidence of known technical problems associated with implanted leads, including lead migration and lead fracture, among others. The lead may comprise two or more (i.e., three or more) surface electrode arrays, each uniquely designed, that are disposed over a sufficient lead length to allow for medically acceptable therapeutic neurostimulation coverage of at least regions within the supraborial, auricular, and occipital/mental regions. To achieve the same clinical coverage from a single implant, it would require three or more separately surgically implanted leads that are first implanted, followed by waking the patient up and activating the electrodes to determine if they are properly placed, and once the surgeon is satisfied, the leads are connected to an IPG and the IPG disposed in a pocket somewhere in the body, typically in the lower torso. There are, by reducing the number of surgical incisions, as well as the number of surgically implanted leads, the associated risks of adverse events are proportionally diminished.

In yet another aspect, an implantable, lead-located, neuro-stimulation system for head pain may treat chronic head and/or face pain of multiple etiologies, including migraine headaches, and other primary headaches, including cluster headaches, hemispheric continuous headaches, tension-type headaches, chronic daily headaches, transformed migraine headaches; further including secondary headaches, such as cervicogenic headaches and other secondary non-vascular-related headaches; including neuropathic head and/or face pain, reflexogenic head and/or face pain, and/or sympathetic related head and/or face pain; including greater occipital neuralgia, as well as the other various occipital neuralgias, supraorbital neuralgia, trigeminal neuralgias, infrabital neuralgia, and other trigeminal neuralgias, and other head and face neuralgias.

In other aspects, an implantable, lead-located, neuro-stimulation system for head pain may not require a central channel lumen at a segment near its distal (frontal) portions. The lead may improve patient comfort and aesthetics by virtue of its relatively small diameter over the distal portions of the lead, partially due the lack of a central stylet channel, as well as due to a progressive decrease in the number of internal wires continuing after each terminal electrode. The lead may further improve cosmetic appearance and patient comfort by incorporating a flattened lead design for that portion of the lead proximal to the over the frontal portion of the head. The lead may be compatible with currently available implantable pulse generators. The lead may incorporate an electrode array design that is capable of a single lead of providing medically acceptable neurostimulation coverage over the supraborial, auricular, and occipital/mental regions bilaterally. The lead may be of sufficient length to adequately reach all common pulse generator locations, thereby potentially eliminating the need for lead extensions and in turn decreasing the risk of problems attendant to such extensions, including dislodgment, infection, technical extension issues such as fracture, and other morbidities. The single lead may be configured to provide medically acceptable neurostimulation coverage that treats head pain over the frontal, lateral, and posterior regions. The single lead may be operable to provide medically acceptable therapeutic neurostimulation coverage that would otherwise often require unilateral leads (six total leads if, as is common, the pain is global/holohemicphalic), thereby resulting in a decrease in the number of patients that require more than one associated implantable Pulse Generator (IPG). Currently available IPGs are capable of accepting a maximum of four leads, each having the ability to cover only one anatomical region, as each lead only has one active array. The lead may include a progressively tapering character over the lead segment containing three active arrays, a feature serving clinical improvements in patient comfort and cosmetics. The lead may further comprise a distal array disposed over a thin, tapered portion of the lead, which is the portion intended to be positioned over the supraorbital (frontal) region, a feature serving clinical improvements in patient comfort and aesthetics.

Hence, the present disclosure provides for a peripherally neurostimulating lead that is uniquely designed for subsequent implantation in the head as a therapy for chronic head pain, and is designed to solve the known design issues associated with current leads, as the lead of the present disclosure seeks to optimize the therapeutic response, improve patient comfort, improve aesthetics, reduce the number of surgical leads required, reduce medical risk, and reduce medical costs.

## B. OVERVIEW

Turning now to the drawings, which depict the system and several of its components in various aspects and views, and in which similar reference numerals denote similar elements, the drawings illustrate an IPG from which two neurostimulating leads may extend to a length sufficient to allow for therapeutic neurostimulation bilaterally over the frontal, parietal and occipital regions. The leads include an extended plastic lead body; a plurality of surface row of electrodes disposed along the lead, which may be divided into two or more electrode arrays; a plurality of internal electrically conductive metal wires running along at least a portion of its length and individually connecting the IPG's internal circuit to individual surface metal electrodes. The

An implantable pulse generator includes a rechargeable battery, an antenna coil, and ASIC. The system may be operable to provide medically acceptable therapeutic neuromodulation to three bipolar regions of the head, including the frontal, parietal, and occipital regions simultaneously, and three figures demonstrate various views of this feature as the lead is depicted *in-situ*.

### C. FULL HEAD-LOCATED NEUROMODULATOR SYSTEM

FIG. 1 depicts a side view of a full neurostimulator system, which consists of an implantable pulse generator (IPG) 10 along with two tubular plastic lead extensions—Frontal-Parietal Lead (FPL) 20 and an Occipital Lead (OL) 30. At an adequate length to extend from roughly the midline of the forehead and to the midline at the cervico-cervical junction, respectively. Arrows 28 indicate the point of cross section of FIG. 4.

FIGS. 5, 6 and 7 depict posterior, lateral and frontal views of the system *in-situ*. The unit is depicted *in-situ* in implant position where the IPG 10 is posterior and cephalad to the pinna of the ear. The drawings demonstrate the complete neuromodulation system implanted subcutaneously with the FPL 20 passing over the parietal 50 and frontal 20 regions of the head, including auriculo-temporal nerve 61 and supra-orbital nerve 71, in a manner that places the PEA over the supraorbital nerve 71 and the PEA over the auriculo-temporal nerve 61. The OL 30 is shown passing caudally and medially over the occipital region of the head 50 such that the OL 30 crosses over the greater occipital nerve 51 and the lesser occipital nerve 52, and the third occipital nerve.

### D. FRONTAL-PARIETAL LEAD

Continuing with FIG. 1, the FPL 20 as part of the tubular construction is connected to and extends from the IPG. The FPL 20 comprises a plastic body member 20a and a set of internal conductive wires 29.

The plastic body member 20a is an elongated, cylindrical, flexible member, which may be formed of a medical grade plastic polymer. It has a proximal end 22, a distal end 21, and may be conceptually divided into five segments along its linear dimension. Progressing from the proximal end 22, these segments sequentially include a proximal lead segment (PL) 22a, a parietal electrode array (PEA) 26, an inter-array interval 27, a frontal electrode array (FEA) 25, and a distal non-stimulating tip 23.

The lead internal wires 29 pass along the interior of the plastic body member as depicted in FIG. 4.

### E. FRONTO-PIRIFORM ELECTRODE ARRAY

Continuing with FIG. 1, the FEA 25 is disposed at the distal end of the FPL 20 and consists of a plurality of surface mounted electrodes (SMEs) 24 uniformly disposed over a portion of the distal nerve in the FPL 20, and internal wires 29 connect to the SME 24 as depicted in FIG. 2, which represents the distal four SMEs 24 of the lead. The distal four SMEs 24 associated with the array 25 have an inter-electrode spacing and design that is specific to stimulating the frontal region. Also, the number of electrodes required for the array will be a function of the patient region, the nerve region that is being treated. As will be described hereinbelow, each of these electrodes can be designated as an anode or a cathode and any combination can be designated to be energized in a set up procedure performed by a clinician. This provides a configuration that can be adapted to a particular patient and a particular placement of the array 25.

Continuing with FIG. 1, this provides a configuration that can be adapted to a particular patient at a particular placement of the FEA 25.

### F. PARTIAL ELECTRODE ARRAY

Returning to FIG. 1, the PEA 26 consists of a plurality of SMEs 24 uniformly disposed along a linear portion of the FPL. The PEA 26 is separated along the FPL from the FEA 25 by an inter-array interval 27. It is separated on the lead from the FEA by the PL 22a. The lead internal wires 29 connect to the individual SMEs 24 of the PEA in the same fashion as they do with respect to the SMEs of the FEA as shown in FIG. 2. As was the case with respect to the FEA 25, the SMEs 24 of the PEA 26 have an interelectrode spacing and design that is specific for stimulating the nerves in the parietal region. Also, the number of electrodes required for the array will be a function of the particular region, the parietal region, that is being treated. As will be described hereinbelow, each of these electrodes can be designated as an anode or a cathode and any combination can be designated to be energized in a set up procedure performed by a clinician. This provides a configuration that can be adapted to a particular patient and a particular placement of the array 25.

Typically, the FPL 20 is a single lead having the four arrays 25 and 26 disposed along the length thereof. The diameter and the shape of this lead can be uniform or it can be of any shape that facilitates surgical placement of the lead. However, within a single lead, two distinct regions of the exterior can be conceptually isolated, each independently controllable by the IPG 10 via the leads 29 and each having a design via the interelectrode spacing and even the electrode configuration to facilitate the requirements of such therapeutic treatment of a particular region associated with a particular set of nerves. Thus, thus requires only a single incision to feed the FPL 20 from the incision port, to a particular region.

### G. OCCIPITAL LEAD

Continuing with FIG. 1, the occipital lead (OL) 30 is an integral part of the tubular construction, and extends from the IPG 10. It comprises a plastic body member 39 and a set of lead internal wires 38 that pass through the central cylinder of the lead to connect to a series of SMEs 34, each of surface electrode width 37, that are uniformly disposed at an inter-electrode distance 36 from each other along a portion of the length of the lead. These lead internal wires 38 pass and connect to the same manner as described above for the SMEs 24 of the PEA 25 and the PEA 26 as depicted in FIG. 2 and FIG. 4.

The plastic body member 39 is an elongated, cylindrical, flexible member, which may be formed of a medical grade plastic polymer. It has a proximal end 32 and a distal end 31. Progressing along the lead from the proximal end 32, these segments sequentially include a proximal lead segment (PL) 32a, an occipital electrode array (OEA) 35, and a distal non-stimulating tip 33.

### H. OCCIPITAL LEAD ARRAY

As depicted in FIG. 1, the OEA 35 consists of a plurality of surface mounted electrodes (SMEs) 34 uniformly disposed over a portion of the OL 30. Lead internal wires 38 connect to the SMEs 34 in the same fashion as depicted for the FEA as shown in FIG. 2. As was the case with respect to the FEA 25 and the PEA 26, the SMEs 34 of the OL 30 have an

inter-electrode spacing and design. That is specific to stimulating the occipital region. Also, the number of electrodes required for the array will be a function of the particular region, the targeted region, that is being treated. As will be described hereinafter, each of these electrodes can be designated as an anode or a cathode and any combination can be designated to be energized in a set up procedure performed by a clinician. This provides a configuration that can be adapted to a particular patient at a particular placement of the OI-30.

### I. IMPLANTABLE PULSE GENERATOR

Referring to FIG. 1 and FIG. 3, the three primary physical and functional components of the IPG 10 include a rechargeable battery 12, an antenna 11, and an application-specific integrated circuit (ASIC) 13, along with the necessary internal wire connections amongst these related components, as well as to the grounding lead internal wires 29, 39. These individual components may be encased in common, integral 15, that may include a thin made of a medical grade metal and plastic cover 14, which itself transitions over the existing I.P. 20 and OI-30.

Battery 12 is connected to the ASIC 13 via a connector that is flexible. The overall enclosure for the battery 12, antenna 11 and ASIC 13 has a very low profile (seen in a top view in FIG. 1) with two lobes, one low for housing the ASIC 13 and one low for housing the battery 12. The antenna 11 can be housed in either of the lobes or in both lobes, this being a function of the coupling to an outside communication/recharging source. By utilizing the two lobes and the flexible connection between the ASIC 13 and the battery 12, this allows the IPG 10 to conform to the shape of the human cranium when subcutaneously implanted without securing such to any underlying structure with an external fixation.

The ASIC 13 is operable to interface with the lines 29 to the I.P. 20 and the lines 39 to the OI-30 driving the respective SME's 24, 34. The ASIC 13 is a state machine but is configured to provide stimulation signals in the form of pulses, variable frequencies, etc., to the respective electrodes in accordance with a predetermined program. Once the program is loaded and initiated, the state machine will execute the particular programs to provide the necessary therapeutic stimulation. The ASIC 13 has memory associated therewith and a communication capability, in addition to charge control, to charge battery 12. Each of the set of wires 29 and 39 interface with the ASIC 13 such that the ASIC 13 independently controls each of the wires in the particular bundle of wires. Thus, each electrode in each of the arrays, 25, 26 and 35, can be individually controlled. As noted hereinabove, each electrode can be designated as an anode or a cathode, or it can even be turned off.

During a charging operation, the IPG 10 is interfaced with an external charging unit via the antenna 11 which is coupled to a similar antenna element in the external charging unit (not shown). The charging current is monitored by the ASIC 13, as the battery 12, in one embodiment, can include the use of a lithium ion battery. It is important for power management reasons to control the amount of charge delivered to the battery, the charging rate thereof, and to protect the battery 12 from being overcharged.

Additionally, the ASIC 13 is capable of communicating with an external unit, typically part of the external charging unit, to transfer information therefrom and receive information therefrom. In this instance, configuration information can be downloaded to the ASIC 13 and status information can be

retrieved therefrom. Although not illustrated herein, a heating coil such as is known for such external charging/communication operation.

### K. CONNECTIONS OF MAIN PULSE TRAINS AND SUB-PULSE TRAINS

The system may include a multi-layer construction to provide physical and functional continuity of the related components and sub-components. This multi-layer construction is basically an enclosure but encloses the entire IPG 10 and the interface with the I.P. 20 and the OI-30. The I.P. 20 and the OI-30 are separate assemblies that are attached to the ASIC 13 via either a connector or via a hermetically sealed connection. The I.P. 20 and the OI-30 are totally enclosed and sealed with only the distal end of leads 29, 39 extending therefrom. Once attached to the ASIC 13, or the DC board associated therewith, a material is disposed about the entire IPG 10 to provide a seal heretofore which extends over the I.P. 20 and the proximal ends 22 and 32 of the I.P. 20 and OI-30, respectively. With such a unitary construction, a surgeon need only make one incision to subcutaneously implant the entire assembly including both the IPG 10 and associated leads in a desired region in the cranium, typically just behind the parietal bone and slightly above the maxilla bone and the pterygoid. This allows the I.P. 20 to be fed backwards toward the frontal bone and the OI-30 to be fed backwards toward the occipital bone. Thus, the entire neurostimulation system will be disposed subcutaneously about the cranium and will require no anchor. Without the requirement for an anchor, there is no disturbance required in the IPG 10, allowing the IPG 10 to be completely sealed. This is facilitated by the fact that very little movement will occur with respect to the tissue surrounding the IPG 10 after implantation thereof. Due to this minimal amount of movement, no tie et will be required that can be incorporated if desired to secure either the I.P. 20 or the OI-30 in place to underlying tissue.

The overall therapeutic purpose of an implantable neurostimulation system is to generate and conduct a prescribed electrical pulse wave from an IPG 10 down a set of four internal wires 29, 39 running a portion of the length of the lead to specified programmed set of SME 24, 34, whereby the current is then conducted by tissue and/or fluid to an adjacent, or nearby, set of one or more SME 24, 34, which in turn passes the signal proximally down the lead wire 29, 39 back to the IPG 10 and its ASIC 13, thus completing the circuit.

### L. FIRST EMBODIMENT

The first embodiment provides for the implantation of the neurostimulation system, that incorporates one or more of the features outlined above and includes a lead located, unitary body neurostimulating system comprising an IPG 10 and at least two neurostimulating leads (I.P. 20 and OI-30). The system may be implanted in a manner such that the IPG 10 and two leads 20, 30 are subcutaneously disposed as illustrated in FIG. 5, 1, 6 and 6A, 7. The IPG 10 is capable of functionally connecting to and communicating with a pulse train generator 40 and an external power source for battery recharging.

In this embodiment, the leads are constructed as described above and as depicted in the drawings. The I.P. 20 is approximately 36 cm in length from its proximal end 22 to its distal end 21. The 21, 20 has a distal neurostimulating tip of approximately 3 mm in length from about the IPG 10 which

may have ten SME 24 uniformly disposed over approximately 8 cm. This is followed by an inter-array interval 27 of approximately 4 cm, then the PIA, which may include eight SME 24 uniformly disposed over approximately 8 cm, and finally a proximal lead segment 22a that ends at the proximal end 22, where the lead transitions to the IPG 10 and the lead internal wires 29, 38 connect to the ASIC 15.

In this embodiment, the occipital lead may comprise a plastic body member 39 over which six SME 24 may be disposed uniformly over approximately a 10 cm length of the lead, and the lead terminates in approximately a 3 mm distal non-stimulating tip 35.

In this embodiment, the IPG 10 comprises the elements described above and depicted in the drawings, including an ASIC 15, a rechargeable battery 12, and an antenna 11, which all may be housed in a medical grade metal can with plastic cover 14. In this embodiment the dimensions of the IPG 10 mean, residing along the outer surface of the plastic cover 14 may be approximately 5 cm by 3 cm by 0.5 mm.

The system includes a portable programmer 40 and a portable recharging unit, both of which functionally couple to the IPG through a radiofrequency mechanism.

In this embodiment, the system is capable of sending a pre-set limit the portable programmer 40 can includes such parameters as pulse amplitude, frequency and pulse width.

The procedure itself involves the permanent subcutaneous implantation of an IPG with multi-lead, multi-array neurostimulator system. The patient may undergo a period of trial neurostimulation, which is standard in traditional neurostimulator evaluations but is optional here. The actual permanent implant takes place in a standard operating suite with appropriate sterile procedures and is typically performed under general anesthesia with the patient positioned prone with the head and body propped and draped.

While the IPG may be positioned subcutaneously anywhere over the head or upper cervical region, in this embodiment it is positioned above and behind the ear. Thus, in a position approximately 1-2 cm above the ear and a couple of cm posterior to the ear, a SuperCervical Incision of sufficient length approximately 4-6 cm is made to a depth sufficient to reach the subcutaneous layer. The posterior aspect of this incision is passed to accept the 26g sutured by standard dissection techniques. The pericore should be 10-20% larger than the IPG itself to allow for a comfortable fit and no undue tension on the overlying skin under incision. A second approximately 1-2 cm incision is made in the subcutaneous layer at a point above and anterior to the pinna of the ear in the temple region.

In this embodiment, in the super-auricular incision a tubular introducer with a plastic peel-away shell (Peel Away Introducer) is advanced subcutaneously from the subauricular incision to the temple incision. The 26g is then passed per the introducer, whereby the peel-away shell is removed leaving the proximal segment of the EL in position in the subcutaneous layer. A new Peel-Away introducer is then advanced subcutaneously from the temple incision medially and anteriorly 1-2 cm above the eyelid to its final position where the distal tip of the lead approximates the midline, a position that results in the frontal electrode array (FEA) over the superficial nerves of the frontal region.

In this embodiment, and prior to advancing thereof, the IPG is first positioned in the previously fashioned subcutaneous pocket, posterior to the super-auricular incision. Then, from the inferior aspect of the super-auricular incision a new peel-away introducer is advanced subcutaneously medially and anteriorly to cross the nerve region of the occipital

region such that the distal tip of the introducer approaches the axilla. Once introduced the OL is passed whereby the Peel Away Introducer is then removed, leaving the lead in position with its active array over the superficial nerves of the occipital region.

Following the entire placement of the complete system, including the IPG and both leads and suturing, the neurostimulator unit is then powered up and its circuits checked. Upon recovery from anesthesia the system is turned on, or the patient with a portable programmer and the multiple parameters of the system programmed to an optimal therapeutic endpoint for the patient.

In this embodiment, the internal battery contains a multi-year battery that is capable of being recharged from an external source.

In this embodiment, the system is capable of handling a program from the portable programmer 40 that includes such parameters as pulse amp. rate, frequency and pulse width. The system's charge balanced current controlled and rechargeable at preferably intervals that exceed one week. The preferred stimulation paradigm may be current controlled, voltage controlled, or a combination of both. The pulsing may be charge balanced or charge unbalanced. The preferred word cycle is between 10 and 100%

FIGS. 8A and 8B depicts a front view and a top view, respectively, of a Portable Programmer 40 for a Head-Mounted Neurostimulator System. The Programmer 40 is specifically designed for application to the Head-Mounted System and specifically for use with patients with migraine and other head pain. The figure is labelled independently. On the front of the Programmer 40 is disposed a liquid crystal display 41 for displaying one side of the head of individual. In the upper left-hand corner of the display 41, there is illustrated an icon similar to the left side of the head. As noted herein, there can be provided two implanted Neurostimulator Systems, one for the right and one for the left side of the head. Thus, the user can select between both sides for display.

The illustrated image includes an image of the left side of the head that is divided into three sections. There is a first frontal section including the supraorbital nerve region, a medial section including the parietal nerve region and a distal section that includes the occipital nerves. As noted herein, the programmer 40 is operable to interact through a lead and/or external charging/communication circuit (not shown) with one or more implanted neurostimulator systems. Thus, there is provided a display area 43 in the LCD display for depicting the recharge level of the Programmer 40 and a display area 42 for depicting the charge level of each neurostimulator system, one for the left and one for the right. If two neurostimulator systems are implanted and being monitored, for each section of the displayed head image, the frontal, the medial, and the distal, there is illustrated a percentage of value illustrating the percentage level of stimulation that is being applied. There are provided left and right toggle buttons 45 that allow a particular section to be selected and increase/decrease buttons 46 to increase and decrease the level of stimulation. A confirmation button 47 is provided for actually entering information after selection thereof. A joy button is disposed on the upper side, as illustrated in FIG. 8A.

FIG. 9 depicts a side view of a head and the initial interventional step in the procedure for implanting the Neurostimulator system. Summarized are depictions of the two incisions required for placement of the neurostimulator. 1) a supraauricular incision where the 26g will be implanted and fixed which the PPI and OL are inserted

subcutaneously to the frontal subcutaneous position over the Frontal Parietal and Occipital regions, respectively; and 2) a Transverse Subcutaneous Incision over which the IPG is initially passed from the IPG in the Supra-auricular Incision, whereupon it is again passed subcutaneously to its final subcutaneous position over the nerves of the supraorbital region. Four drawn lines are also depicted which are used as references to define relative positions for justiciss and passing the leads. What is illustrated is the parietal region of Lead 60 wherein lines are drawn about the pinna. A horizontal supra-pinnal line is disposed above the apex 63 of the pinna; a vertical pre-pinnal line 64 is driven to the frontal side of the pinna; a vertical mid-pinnal line 65 is drawn down the medial section of the pinna; a vertical post-pinnal line 66 is drawn at the back of the pinna and a horizontal supra-pinnal line 67 is drawn above the auricle. In this embodiment, the supra-auricular subcutaneous incision 68 is disposed above the line 68a between the two lines 65 and 66. The lower point 68a of the incision 68 is disposed almost exactly between the two lines 65 and 66 and extends upward at an angle distal to the plane. A Temple subcutaneous incision 69 is disposed forward of the line 66 with a lower point 69a of the incision being disposed at approximately the level of the line 67 forward of the line 64 and extending obliquely upward and frontal to the point 68a.

FIG. 10 depicts a side view of the head and the next step of the procedure following that depicted and described in FIG. 9. The supra-auricular incision 68 and Temple Incision 69, a transmural subcutaneous Peel Away Introductor 95 is depicted as having been passed subcutaneously from the Supra-auricular Incision to the temple incision. This introductor 95 provides a further pathway which to pass in the lineage 20 after insertion thereof. The introductor 95 is comprised of two parts that are connected together with a serrated or breakable connection. Once the lead 20 is passed through the lumen of the introductor 95, it can be fully pulled through such that the frontal portion 25 is pulled all the way through the incision 69. The peel away introductor 95 can then be extracted by pulling each edge, there being two extensions or gripping either's side of the introductor peeling away, leaving the lead in place between incisions 68 and 69. It can be seen that the IPG 10a and the assembly 30 are still not implanted, nor is the FEA 25. Thus, the IPG is passed through the Peel Away Introductor, which is depicted in this drawing, as beginning to separate in the act of being removed. Note that the OL 30 and the Distal Segment of the IPG 10a are still exterior to the skin.

FIG. 11 depicts a side view of the head and the next step of the procedure following that depicted and described with respect to FIG. 10. Prominent here is the depiction of a new Peel-Away Introductor having been passed subcutaneously from the Temple Incision 69 to its final position proximate to the supraorbital nerve region where its distal tip approximates the midline, and the IPG 10a is in the Subcutaneous Layer, which places it over the nerves of the Supraorbital Region. The Proximal Lead Segment of the IPG 10a is depicted as having been performed simultaneously such that the IPG 10a is positioned in the Subcutaneous Layer over the nerves of the associated Axilla Region. The IPG 10a and OL 30 are depicted as remaining ex prior to the incision 68 at this point in the procedure.

FIG. 12 depicts a frontal view of the FL 10 as having been positioned subcutaneously as discussed in FIG. 11. The FL 10 is depicted having its IPG 10a in its subcutaneous position, where it is crossing over and superficial to the nerves of the

Frontal Region, including here the Supraorbital Nerve 71 and the Supratrochlear Nerve 72.

FIGS. 13A and 13B depict a side view of the next step in the procedure after the step depicted and described with respect to FIGS. 11 and 12. Prominent here are the IPG 10a and OL 30 which have been passed and positioned subcutaneously in the IPG pocket and over the nerves of the Ocular Region, respectively. The IPG 10a is depicted as having been passed subcutaneously as demonstrated in FIGS. 11 and 12. Also prominent is a blow-up view of the Supra-auricular Incision 68 at this step in FIG. 13B, where the IPG 10a is placed in its Subcutaneous Pocket and the most proximal segments of the IPG 10a and OL 30a are depicted as they enter the subcutaneous spaces in front of their final positions as depicted in the previous figures. Of note is the Peel Away Introductor 95 over the OL 30a, which is depicted as just being separated as part of the procedure of removing it. The IPG 10a is depicted as having been passed subcutaneously until this position as depicted in the previous figures. The IPG 10a can either be inserted into the IPG subcutaneous socket prior to insertion of the OL 30a into the introducer 95 or in the opposite sequence.

FIG. 14 depicts a cross-section view of the skin at the Supra-auricular Incision 68 at the stage of the procedure depicted in FIG. 13. Prominent within the subcutaneous layer 82 is the IPG 10a in its Subcutaneous Pocket, as well as the initial proximal segments of the IPG 20b and the OL 30b as they pass per the Subcutaneous Layer. The Peel-away Introductor 95 noted in FIG. 13A is also prominent. Once the peel away introducer 95 is removed, the Supra-auricular Incision 68 can be closed. At this point in time, the Incision is closed prior to activating the IPG 10a. It could, of course, be activated prior to closing of the incision but at this stage, the Neural Implant System 10 is completely implanted and all the leads positioned.

FIG. 15 depicts a cross section view of the skin at the point where the Active Electrode Array of the OL 30b has been positioned over (superficial to) the Subcutaneous Layer, which lies between the Superficial Derris and the underlying Fascia. The Muscle Layer, Aponeurosis and the Boney Skull are represented as sequentially deeper layers beneath the Fascia. The regions illustrated are the Boney skull 86 over which lies a thin layer 85, the Aponeurosis, over which lies a muscle layer 84, over which lies the subcutaneous tissue layer 82 and finally the derris 81. Illustrated within the subcutaneous tissue layer 82 is a cross-section of the greater occipital nerve 81a. The OL 30b is disposed within the subcutaneous tissue layer 82 above the greater occipital nerve 81a.

FIG. 16 depicts a view of the head from the top after the full neuromodulation system has been implanted. Prominent here are the IPG system, including the IPG 10b, IPG 20b and OL 30b, which all lie within the Subcutaneous Layer. Also prominent are the LVA 25, the PVA 26, the OFA 35 in their final positions over (superficial to) the corresponding nerves in the Frontal Region, the Axilla Region and the Occipital Region respectively.

FIG. 17 depicts two implanted IPGs with leads to cover both sides of the head. The two structures are numbered identically with respect to their components, and they are implanted identically, one on the left side of the head and one on the right side of the head, as described above.

FIG. 18 depicts one implanted IPG with leads to cover both sides of the head. In this embodiment, the IPG 20b extends from the IPG 10b on one side of the head around the parietal region, on that side of the head, the two frontal regions and on the parietal region on the opposite side of the

leads such that there are two 'A' : 26, see FIG As 2S and, see OI As 35. This, of course, requires an incision to be made on the anterior region on the side of the head on which the IPG 10 is implanted and a frontal incision made to allow the PDA 20 to be routed to and in a frontal incision and then to a temporal incision on the upside the head and thereby to the parietal region on the upside the head. This is the same with respect to the occipital lead 30 that must be routed through possibly an additional occipital incision of the back of the head. All that is required is the ability to route particular leads to be within five regions proximate to nerves associated therewith. This will allow a single PG 10 to cover two frontal regions, two parietal regions and two occipital regions.

Thus, the procedure to implant, in summary, is to first provide a neurostimulator system that has a unibody construction comprised of an IPG integrated with the leads as opposed to a separate system wherein the leads are implanted first, postfixed, activated and then connected to the IPG. Then the IPG implanted into an associated pocket. With the unibody construction of the enclosed neurostimulator system, this requires each of the multiple leads to then be positioned proximate to a desired nerve region through one or more incisions through the subcutaneous layer. This typically involves a single initial incision that is associated with the subcutaneous pocket for the IPG, wherein the electrode first inserted through the incision to the particular nerve region simultaneously and then the IPG disposed within the peritoneal cavity. However, the IPG is not secured to an underlying structure, such as bone or fascia. The reason for this is that the IPG is first, very lightweight, and second, disposed in an area of the skull that is subject to very little movement, thus minimizing the possibility of any migration of the leads.

#### M. A. TURNER: EMBODIMENTS

There are multiple alternate embodiments that preserve the features of the neurostimulation system disclosed herein, which include an externally rechargeable and programmable 30, sized and configured for implantation in the head, and may which have in-parietal and occipital leads, along with two respect surface metal electrode arrays, extend to cover multiple regions of the head. In various embodiments, the spacing and dimensions of the electrode arrays for each specific array may be constant, or the electrode arrays may be specifically designed with respect to electrode type, dimensions, and layout, for improving the therapeutic effectiveness of the specific neural region it is to be associated with. The multiple alternate embodiments also include a subcutaneously positioned unibody neurostimulator device that contains an IPG and two leads, one with a single electrode array and the other with two electrode arrays.

Thus, the disclosure comprises extended electrode array designs (two or more regions by a single lead), and/or multiple arrays and optimized intra-array electrode positions. The disclosure also comprises lead configurations, which include the capability of a modular lead design that provides for parts on either the standard I-PI and OI's. In another embodiment, the IPG may receive additional separate leads, if and as necessary either at the time of initial implant or in the future. Thus, the disclosure comprises extended electrode array designs (two or more regions by a single lead), and/or multiple arrays and optimized intra-array electrode positions. The disclosure also comprises lead configurations, which include the capability of a modular lead design that provides for parts on either the standard I-PI and OI's. In another embodiment, the IPG may receive additional separate leads, if and as necessary either at the time of initial implant or in the future.

Further, the lead lengths, along with the specific technical makeup and dimensions of the individual surface metal electrodes and electrode arrays, may be varied to include more or less than three contiguous regions of the head (occipital, parietal, and frontal) contemplated by the first

embodiment. For example, a single "PC" may employ one and/or multiple additional leads of varying lengths that ultimately could be disposed over virtually every region of the head and face bilaterally, to thus cover multiple and disparate regions, with each of those areas and arrays of electrodes associated therewith designed for a particular cranial region. Further, each of these leads can have one or more distinct arrays associated therewith so as to accommodate more than a single cranial region, this single multi-array lead allowing a single incision to accommodate these multiple regions.

At least two electrodes may be included per region for thus per array, and while the first embodiment calls for a total of 24 electrodes disposed over three arrays covering three different regions of the head—the occipital, parietal, and frontal regions—there is no absolute limit to the number or maximum number of electrodes. Similarly, while the first embodiment calls for three electrode arrays, the disclosure contemplates two, or even one array (so long as the array covers at least two regions). There is also no limiting maximum for the number of arrays. Also, there may be multiple varieties of design within each separate array, including for example, variations in the number, dimensions, shape, and metal composition of the individual electrodes, as well as the distance and consistency of distance between electrodes, within each array. Further, each array may have the same or completely different designs.

While the neurostimulation system has been described for subcutaneous implantation as a peripheral nerve stimulator in the head and for head pain, it is capable of being implanted and used as a peripheral nerve stimulator over other regions of the head and face than described above and also over other peripheral nerves in the body.

In another embodiment the IPG may be positioned subcutaneously over virtually any other part of the body that can accept the unit.

In another embodiment the leads may be passed such that their respective electrode arrays over position over simultaneously over other painful regions of the face, head and neck.

In another embodiment the leads may be passed by measures other than a standard Peel Away Introducer. For example they may be passed per the previous retrograde positioning of a standard metal tubular introducer, which is then removed over the lead once it has been positioned.

While a common embodiment includes the implantation of two neurostimulator systems (one on each side), other embodiments may include only one system or may include more than two systems. These would depend upon the nature, location and extension of a patient's pain report.

While the neurostimulation system has been described for implantation as a peripheral nerve stimulator in the head and for head pain, it is capable of being implanted and used as a peripheral nerve stimulator over other regions of the head and face than described above and also over other peripheral nerves in the body.

#### OPERATION

When initiating this is when the internal circuitry and intercoil wires is connected to an IPG; the SMEs of the various arrays are programmed to function as anodes and/or cathodes. The ASIC 13 then drives with a generated electrical pulse wave that passes from the ASIC of the IPG to the associated internal coil wire and ultimately to the associated transcutaneous metal electrode. The current then passes a short distance from the subcutaneous tissue, within which the neurostimulation system is implanted, to a cor-

tumors, or nearby, electronics, whereby it passes back up the lead to its associated proximal metal contact, and then back to the IPI and the ASIC 13 to complete the circuit. The generated pulse waves pass through the subcutaneous tissue between two terminal electrodes that stimulates the sensory nerves in the area. As noted hereinabove, the configuration for the ASIC 13 can define certain of the SMEs as enodes and certain of the SMEs as cathodes. When active, the IPI may be programmed to produce continuous series of pulse waves of specified frequency, amplitude, and pulse width. It is this series of pulse waves actively stimulating a patient's locally associated nerves that duplicates the therapeutic effect of the implanted unit. The electrical pulse wave then passes down a proximal surface metal contact, along the associated internal lead wire, and ultimately to its associated terminal surface metal contact.

With respect to FIGS. 5, 6 and 7, the neurostimulator system is subcutaneously implanted on the left side of the hemi-cranium over the respective nerve regions. The main body of the IPI 10 is disposed proximally to and posterior of the parietal bone just above the ear. A small incision (slower below) is made into which the EPZ 20 is inserted and resected forward to the frontal bone passing over the auriculotemporal nerve 61 and the supraorbital nerve 71. The OI 30 is rented through the incision backwards to the occipital bone. Then, the IPI 10 is inserted through the incision and then the incision closed. Thus, with a single incision, the entire neurostimulator system can be disposed in a subcutaneous region of the cranium, the regions adjacent such that a minimal amount of movement will occur with everyday activity of an individual. The selection of the region in which the main body is implanted is selected based upon a region that will result at minimum migration of the IPI 10 (noting again that it is not secured to bone), be very much native to the individual and allow easy access to the front and a possible regions of the cranium. There is no need to secure the main IPI 10 to the bone or to even provide any stylet securing it to the fascia.

It is to be understood that the implementations disclosed herein are not limited to the particular systems or processes described which are, of course, vary. It is also to be understood that the terminology used herein is for the purpose of describing particular implementations only, and is not intended to be limiting. As used in this specification, the singular forms "a," "an," and "the" include plural referents unless the context clearly indicates otherwise. Thus, for example, reference to "an accumulation" includes a combination of two or more accumulations; and, reference to "a valve" includes different types and/or combinations of valves. Reference to "a compressor" may include a combination of two or more compressors. As another example, "coupling" includes direct and/or indirect coupling of members.

Although the present disclosure has been described in detail, it should be understood that various changes, substitutions and alterations may be made herein without departing from the spirit and scope of the disclosure as defined by the appended claims. Moreover, the scope of the present application is not intended to be limited to the particular embodiments of the process, machine, manufacture, composition of matter, means, methods and steps described in the specification. As one of ordinary skill in the art will readily appreciate from the disclosure, processes, machines, manufacture, compositions of matter, means, methods, or steps, presently existing or later to be developed, that perform substantially the same function in substantially the same way to achieve substantially the same result as the corresponding embodiment(s) described

herein may be utilized according to the present disclosure. Accordingly, the appended claims are intended to include within their scope such processes, machines, manufacture, compositions of matter, means, methods, or steps.

Referring now to FIG. 19, there is illustrated a headset 1902 disposed about the cranium for interfacing with the two implants 10a of FIG. 17. The headset 1902 includes right and left coupling coil enclosures 1904 and 1906, respectively, that contain coils coupled to the respective coils of the implants 10a. The coil enclosures 1904 and 1906 interface with a main charger/processor body 1908 which contains processor circuitry and batteries for both charging the internal battery in the implants 10a and also communicating with the implants 10a. Thus, in operation, when a patient desires to charge their implants 10a, all that is necessary is to place the headset 1902 about the cranium with the coil enclosures 1904 and 1906 in close proximity to the respective implants 10a. This will automatically effect charging. In communication, there is provided some internal communication required for charging but also, an external interface can be provided to the user via the handshake unit described in FIGS. 8A and 8B.

Referring now to FIG. 20, there is illustrated a diagrammatic view of the interface of the headset 1902 with the implants 10a. Each of the implants 10a is interfaced with the coils 2002 and 2004 and includes the processor 13 and the battery 12. Also, although not illustrated, the coil 11 is disposed thereto. It should be understood that the processor 13 can be any type of instruction based processing device or microchip and even an ASIC that is capable of exerting a sequence of events that results in some pattern of stimulating signals to be transmitted to the electrodes and also facilitates charging/powering and communication.

Referring now to FIG. 21, there is illustrated a schematic view of the overall headset and implants. The headset 1902 is comprised of two coupling coils 2002 and 2004, each operable to couple with the respective coil 11 of the respective implants 10a. There is coupling of both charging power and communication. The communication being bi-directional. The two series coils 2002 and 2004 are controlled by a charger and RX/RX circuit 2006. This circuit 2006 is operable to generate sufficient energy at a resonant frequency of the coil to couple across the skin to the coil 11, which is then used to charge the respective battery 12. The processor 13 is operable to facilitate the charging and communication operations and also the driving operations for driving current in the associated leads 20 and 30.

Referring now to FIGS. 22A and 22B, there are illustrated block diagrams for the operation of the overall system. With reference specifically to FIG. 22A, there is illustrated a block diagram for implantation 10a, wherein a microprocessor 2204 is contained in the body of the overall operation. This is interfaced with a memory for storing instructions, programs and also with a driver 2208 for driving leads 20 and 30. The coil 11 is interfaced with a detector 2210 that is operable to detect energy across the coil 11 and convert it to a DC value for input to a charge control circuit 2212, which is controlled by the microprocessor 2204, and discharges the battery 12, the battery 12 providing power to the entire implant 10a. Additionally, the coil 11 has an interface through a connector 2214 to a RX/RX circuit 2218 which is operable to detect received data that is transferred onto the resonant frequency of the energy transfer such that information can be received. Also, transmitted information can be the same type of signal, which is transmitted onto the coil 11. This TX/RX signal can be transferred across the coil 11 to the receiver in coil 2002 or 2004 in wear the headset 1902.

and the implants 11a and 11b the charge and TX/RX circuit 2004 in the headset 1902 can communicate with implant 10a. It should be understood that the microprocessor 2204 can be any type of instruction based processing device or state machine and over an SPI bus is capable of executing a sequence of events that results in some charging/powering of the implant and communication therewith.

Referring now to FIG. 22, there is illustrated a block diagram of headset 1902 interfaced with the handheld device, as indicated by block 2222. The headset includes a processor 2224 which is in receipt of a battery through a signal supply line 2226. The processor 2224 is interfaced with a charge control circuit 2230 that drives the two coils 2002 and 2004. The processor 2224 also controls a RX/TX circuit 2228 that is operative to communicate with the implants 11a by inserting a data signal onto the resonant frequency of the coils 2002 and 2004 with an AC signal that can be coupled across the skin to the coils 11c with transmit and receive operations. The processor 2224 also interfaces with a communication interface 2234 that is operative to wirelessly communicate with the handheld device 2232. This navigation interface can use any type of communication interface required such as Bluetooth, Bluetooth low energy, Zigbee or any type of communication protocol. This nicely allows a user to interface with processor 2224 on the headset 1902 or the purpose of interacting with the implant. This allows a surgeon, for example, after implanting the device, to test the device without having to actually access the leads themselves in a separate controller. Thus, the implants are implanted and the incisions closed up before any attempt is made to determine the efficacy of the overall operation of the implants in any particular patient.

Referring now to FIG. 23, there is illustrated a flowchart depicting the overall method of activating the implant after surgery. This is initiated at a start block 2302 and then proceeds to a block 2304 wherein the headset is placed onto the patient after surgery. Therapeutic communication with the headset is effected through a handheld unit, for example, as indicated by block 2306. The processor then flows to a decision block 2308 to determine if a link with the implant can be made. Initially, the implants have batteries with a tiny charge such that they are able to communicate with the headset 1902. However, if not, the implants will charge once sufficient charge has been provided to the implants, a link will be made with the implant and the program will flow to a block 2310 to activate a test program. However, until the link is made, a return loop will be made back to the front of the decision block 2308 until a timeout has occurred and then an error will be indicated. Once the test program has been activated, the program flows to a decision block 2312 to determine if a confirmation has been received that the operation has occurred. This typically is feedback to the patient and at least the therapeutic relief expected by the patient has been achieved to some extent. If no confirmation has been received, the program will flow to a block 2320 in order to troubleshoot the system. In general, what might happen is that different programs would have to be implemented in order to adjust the distribution of the driving signals across the electrodes associated with the various implanted leads.

It will be appreciated by those skilled in the art having the benefit of this disclosure that this invariable head mounted neuromodulation system for head pain provides a valbody construction with implanted leads to cover the frontal, parietal, and occipital regions of the head. It should be understood that the drawings and detailed description hereto are to be regarded in an illustrative rather than a restrictive

manner, and are not intended to be limiting to the particular forms and examples disclosed. On the contrary, included are any further modifications, changes, rearrangements, substitutions, alternatives, design choices, and embodiments apparent to those of ordinary skill in the art without departing from the spirit and scope hereof, as defined by the following claims. Thus, it is intended that the following claims be interpreted to embrace all such further modifications, changes, rearrangements, substitutions, alternatives, design choices, and embodiments.

#### What is claimed:

1. A method for treating patients with migraine headaches, comprising the steps of:
  - a) subcutaneously implanting at least one neurostimulator or control system through an incision in the cranial region, which neurostimulator or control system includes a main body disposed proximate the incision having a processor disposed therein and an interface interfacing to at least one integrated stimulating lead, and the at least one integrated stimulating lead having a proximal end connected to the interface and an array of electrodes disposed along the length of the at least one integrated stimulating lead proximate the distal end thereof and interconnected through internal wires to the processor through the interface; the step of implanting further extending the distal end of the integrated stimulating lead subcutaneously from the neurostimulator control system to the frontal cranial region so that at least one of the electrodes is proximate and over a nerve, and applying, after exceeding the distal end of the at least one integrated stimulating lead, at least one stimulating signal by the processor in the main body through the internal wires in the at least one integrated stimulating lead to the electrode proximate the at least one nerve, thereby at least in part alleviating pain associated with migraine headaches;
  - b) wherein the at least one nerve is selected from at least one of the hairy, branches and roots of at least one of the supraorbital nerves;
  - 2. The method of claim 1, wherein the incision is made proximate the parietal bone;
  - 3. The method of claim 2, wherein the incision is about above the pineal;
  - 4. The method of claim 1, wherein a subcutaneous pocket is created through the incision to contain the neurostimulator control system;
  - 5. The method of claim 1, wherein the neurostimulator or control system includes a power source and an antenna communication system;
  - 6. The method of claim 5, and further comprising interfacing an external communication system with the antenna communication system to transmit signals thereto, wherein the transmission of signals to the antenna communication system causes the processor to apply the at least one stimulating signal;
  - 7. The method of claim 1, wherein the interface interfaces to at least another integrated stimulating lead, and the at least another integrated stimulating lead having a proximal end connected to the interface and an array of electrodes disposed along the length of the at least another integrated stimulating lead proximate the distal end thereof and interconnected through internal wires to the processor through the interface; the step of implanting further extending the distal end of the at least another integrated stimulating lead subcutaneously from the neurostimulator control system to the occipital region so that at least one of the electrodes thereof is proximate and over a nerve and wherein the nerve

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is selected from at least one of the body, branches and rami of at least one of the occipital nerves.

8. The method of claim 1, wherein the incision is closed prior to the step of applying the at least one stimulating signal.

9. The method of claim 1, wherein the at least one integrated stimulating lead includes a second array of electrodes with at least one of the electrodes in the second array disposed over at least one nerve which is selected from at least one of the body, branches and rami of at least one of the auriculo-temporal nerves.

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